STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

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Acknowledgement: State birth defects program directors provided the information for this directory. Their names can be found under the "contact" section of each state profile.

Suggested reference: National Birth Defects Prevention Network (NBDPN). 2005. State Birth Defects Surveillance Program Directory. Birth Defects Research Part A 73:700-757.

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Alabama

Alabama Birth Defects Surveillance and Prevention Program (ABDSPP)

Purpose: surveillance, research, prevention Partner: university, hospital, child program, advocacy Program status: currently collecting data Start year: 1995

Earliest year of available data: 1998 *Organizational location:* university *Population covered annually:* 8,000/year for 1998-2000 data; 18,009 for 2001 data; 19,261 for 2002 data; 19,322 for 2003 data *Statewide:* no; 1998-2000 data: Mobile and Baldwin counties; 2001 data: Autauga, Baldwin, Bullock, Clarke, Coffee, Crenshaw, Dale, Elmore, Escambia, Geneva, Henry, Houston, Lowndes, Macon, Mobile, Monroe, Montgomery, and Washington counties; 2002 and 2003 data: add Barbour, Butler, Pike, and Russell counties to 2001 counties *Current legislation or rule:* none

Case Definition

Outcomes covered: major birth defects and genetic disorders *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, 20 weeks gestation and greater), elective terminations

Age: up to one year after delivery

Residence: 1998-2000 data: Mobile and Baldwin counties; 2001 data: Autauga, Baldwin, Bullock, Clarke, Coffee, Crenshaw, Dale, Elmore, Escambia, Geneva, Henry, Houston, Lowndes, Macon, Mobile, Monroe, Montgomery, and Washington counties; 2002 and 2003 data: add Barbour, Butler, Pike, and Russell counties to 2001 counties

Surveillance Methods

Case ascertainment: active case ascertainment, population-based Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Delivery hospitals: disease index or discharge index, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, Congenital Anomaly reporting form

Pediatric & tertiary care hospitals: disease index or discharge index, ICU/NICU logs or charts, pediatric logs,

postmortem/pathology logs, Congenital Anomaly reporting form *Other specialty facilities:* prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any birth certificate with a birth defect box checked, infant low birth weight or gestation (<2500 Gms), all stillborn infants, all neonatal deaths, all elective abortions, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases, 5 minute apgar <7

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, failure to thrive, developmental delay, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, all infant deaths (excluding prematurity), occular conditions, auditory/hearing conditions, any infant with a codable defect *Coding:* California's coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: SPSS, MS Access, Excel pivot tables Quality assurance: re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness

Data use and analysis: baseline rates, rates by demographic and other variables, time trends, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

Funding

Funding source: CDC grant 80%, University 20%

<u>Other</u>

Web site: http://www.usouthal.edu/genetics Additional information on file: Birth Defects Syndromes fact

sheets

Comments: site linked to International Birth Defect Information Systems

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Alaska

Alaska Birth Defects Registry (ABDR)

Purpose: surveillance

Partner: Department of Health, hospital, nursing, child program, advocacy

Program status: currently collecting data Start year: 1996 Earliest year of available data: 1996 Organizational location: Department of Health (Epidemiology/Environment), Department of Health (Maternal and Child Health) Population covered annually: 10,000

Statewide: yes Current legislation or rule: 7 AAC 27.012 Legislation year enacted: 1996

Case Definition

Outcomes covered: ICD-9 Codes 237.7, 243, 255.2, 277, 279, 282, 284.0, 331, 334, 335, 343, 359, 362.74, 740-760, 760.71 *Pregnancy outcome:* live births (all gestational ages and birth weights)

Age: birth to age one; birth to age six for alcohol-related birth defects (including fetal alcohol syndrome) *Residence:* Alaska residents

Surveillance Methods

Case ascertainment: passive case ascertainment, population based; active case ascertainment for alcohol-related birth defects (including fetal alcohol syndrome)

Case finding/identification sources:

Vital records: birth certificates

Other state-based registries: programs for children with special needs, newborn biochemical screening program, infant learning programs, genetics clinics, specialty clinics (heart, cleft lip/palate, neurodevelopmental), MIMR (FIMR), public health nursing

Delivery hospitals: Reports are generated by the health information management departments, within hospitals and health care facilities, for any child treated or diagnosed with a reportable ICD-9 code.

Pediatric & tertiary care hospitals: Reports are generated by the health information management departments, within hospitals and health care facilities, for any child treated or diagnosed with a reportable ICD-9 code.

Third party payers: Medicaid databases, Indian health services Other specialty facilities: genetic counseling/clinical genetic facilities

Other sources: physician reports

Case Ascertainment

Conditions warranting a chart review beyond the newborn period: any chart with an ICD-9 code of 760.71 or 742.1 and other birth defects as selected for review by the ABDR Program Manager, all fetal and infant deaths (excluding prematurity) *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: Epi-Info, SPSS, SAS, MS Access, Excel Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, timeliness

Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, time trends, epidemiologic studies (using only program data), needs assessment, service delivery, grant proposals, education/public awareness

System Integration

System links: final birth file

System integration: The ABDR is developing a system to match birth defects data the Infant Learning Program (IDEA/Part C) to help identify gaps in services and referrals for children with birth defects.

<u>Other</u>

Web site:

http://www.epi.hss.state.ak.us/mchepi/ABDR/default.stm *Surveillance reports on file:* Family Health Datalines, ABDR Surveillance Updates, MCH Fact Sheets (ex: Folic Acid Knowledge and Use in Alaska), Alaska Maternal and Child Health Data Book 2003

Procedure manual available: yes

Additional information on file: results of the Alaska Folic Acid Surveys conducted in 1999 and 2000

Comments: A follow-up survey on folic acid knowledge, attitudes and behavior will be conducted during February/March 2005.

Contact

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Purpose: surveillance, service, prevention
Partner: Department of Health, university, hospital, nursing, child program, advocacy
Program status: currently collecting data
Start year: 1986
Earliest year of available data: 1986
Organizational location: Department of Health
(Epidemiology/Environment), Bureau of Public Health
Statistics/Office of Health Registries
Population covered annually: 93,843 in 2004
Statewide: yes
Current legislation or rule: statute: ARS sec. 36-133. Rule: Title
9, Chapter 4, Articles 1 and 5, Adopted effective 1991

Legislation year enacted: 1988

Case Definition

Outcomes covered: 44 composite categories covering the major birth defects and genetic diseases, as defined by BPA/CDC codes *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), <20 weeks gestation included if infant \geq 500 gms, elective terminations (20 weeks gestation and greater), <20 weeks gestation included if infant \geq 500 gms *Age:* up to 1 year after delivery; if nature of a defect diagnosed in the first year of life is more precisely diagnosed later in the child's life, and this information is contained in the chart at the time of our review (which occurs 2-4 years after the child's birth or fetal death), then the more precise diagnosis is used. *Residence:* in-state birth to state resident

Surveillance Methods

Case ascertainment: active case ascertainment, population-based *Case finding/identification sources:*

Vital records: birth certificates, fetal death certificates Other state based registries: programs for children with special needs, newborn biochemical screening program, cases identified through Children Rehabilitation Services Clinic in the Office for Children with Special Health Care Needs

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs, pediatric logs, postmortem/pathology logs, mothers' charts for stillborns

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs, pediatric logs, postmortem/pathology logs, mothers' charts for stillborns *Other specialty facilities:* prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected procedure codes, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all stillborn infants, all neonatal deaths, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, occular conditions, any infant with a codable defect *Coding:* CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, prenatal diagnostic information, maternal risk factors, family history *Father:* identification information (name, address, date-of-birth,

etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage Database storage/management: MS Access, Oracle

Data Analysis

Data analysis software: SAS, MS Access Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, time trends, referral, grant proposals, education/public awareness, prevention projects

Funding

Funding source: general state funds 30%, MCH funds 3%, genetic screening revenues 27%, CDC grant 40%

Other

Web site: http://www.azdhs.gov/phs/phstats/bdr/index.htm Surveillance reports on file: Annual Reports, 1986-1997 Procedure manual available: yes

Additional information on file: copy of legislation, case record form, case finding log, abstraction forms, quality assurance procedures

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

Arkansas

Arkansas Reproductive Health Monitoring System (ARHMS)

Purpose: surveillance, research, prevention Partner: Department of Health, university, hospital, advocacy, legislator Program status: currently collecting data Start year: 1980 Earliest year of available data: 1980 Organizational location: Arkansas Children's Hospital Population covered annually: 37,000 Statewide: yes Current legislation or rule: Senate Bill Act 214 Legislation year enacted: 1985

Case Definition

Outcomes covered: major structural birth defects *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, 20 weeks gestation and greater), elective terminations

Age: two years after delivery

Residence: in and out of state births to state residents

Surveillance Methods

Case ascertainment: population-based, active case ascertainment Case finding/identification sources:

Vital records: birth certificates

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, specialty outpatient clinics **Other specialty facilities:** prenatal diagnostic facilities (ultrasound, etc.), genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected procedure codes, any birth certificate with a birth defect box checked, all stillborn infants, all elective abortions

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect

Coding: CDC coding system based on BPA, modified CDC and NBDPS coding system

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal diagnostic information, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: SAS, MS Access, STATA Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, clinical review, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, grant proposals, education/public awareness, prevention projects

System Integration

System links: final birth file System integration: no

Funding

Funding source: general state funds 100%

Other

Web site: http://ARbirthdefectsresearch.uams.edu Surveillance reports on file: annual reports

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California

California Birth Defects Monitoring Program (CBDMP)

Purpose: surveillance, research

Partner: Department of Health, university Program status: currently collecting data Start year: 1983

Earliest year of available data: 1983

Organizational location: Occupational and Environmental Disease Control, March of Dimes under contract with State Department of Health Services

Population covered annually: 60,000

Statewide: no; CBDPM currently monitors a sampling of CA births demographically similar to CA as a whole and whose birth defects rates and trends have been reflective of those throughout CA; CBDPM has statutory authority to conduct active surveillance anywhere in CA when warranted by environmental incidents or concerns

Current legislation or rule: Health and Safety Code, Division 102, Part 2, Chapter 1, Sections 103825-103855 *Legislation year enacted:* effective 1982, recodified 1996

Case Definition

Outcomes covered: serious structural birth defects, primarily encompassed within ICD codes 740-759

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, \geq 20 weeks gestation), elective terminations (>20 weeks gestation)

Age: one year

Residence: in-state births to residents of 1 of 8 counties; does not include births in military hospitals

Surveillance Methods

Case ascertainment: active case ascertainment, population-based Case finding/identification sources:

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs, postmortem/ pathology logs, surgery logs

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, surgery logs, laboratory logs **Other specialty facilities:** prenatal diagnostic (ultrasound, etc.), genetic counseling/clinical genetic, and maternal serum screening facilities; cytogenetic laboratories

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected procedure codes, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), all stillborn infants, all neonatal deaths, all elective abortions, all prenatally diagnosed or suspected cases, apgar 0-0

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, GI condition (e.g. intestinal blockage), cardiovascular condition, all infant deaths (excluding prematurity), occular conditions, any infant with a codable defect

Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-of-

birth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.),

gravidity/parity, illnesses/conditions, family history *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage

Database storage/management: FoxPro

Data Analysis

Data analysis software: SAS

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, validity checks are done on all abstracts

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, grant proposals, education/public awareness

System Integration

System links: final birth file

Funding

Funding source: general state funds 35%, MCH funds 20%, CDC grant 20%, other federal funding 15%, DHS/UC Pass through 10%

Other

Web site: http://www.cbdmp.org Surveillance reports on file: current data on web site Procedure manual available: yes Additional information on file: Publications Index, summaries of research findings, Collaboration Protocol, Confidentiality Procedures, Cluster Investigation Protocol, statutes, video

Contacts

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

Colorado

Colorado Responds To Children with Special Needs (CRCSN)

Purpose: surveillance, service, prevention *Partner:* Department of Health, university, hospital association, local public health, environment, maternal and child health

programs, advocacy Program status: currently collecting data Start year: 1988 Earliest year of available data: 1989 Organizational location: Department of Health (Epidemiology/Environment) Population covered annually: 68,798 (2004)

Statewide: yes Current legislation or rule: Colorado Revised Statutes (CRS) 25-1.5-101 - 25-1.5-105 Legislation year enacted: 1985

Case Definition

Outcomes covered: structural birth defects, genetic and selected metabolic conditions; selected developmental disabilities; very low birth weight (<1500 grams); others with medical and maternal risk factors for developmental delay

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), any gestational age from selected diagnoses made prenatally

Age: up to the 3rd birthday (up to 7th birthday for FAS) *Residence:* events occurring in-state or out-of-state to Colorado residents

Surveillance Methods

Case ascertainment: passive case ascertainment, populationbased; active for selected data sources and for special projects like fetal alcohol syndrome

Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Other state based-registries: newborn hearing screening program, newborn biochemical screening program Delivery hospitals: disease index or discharge index, specialty

outpatient clinics, selected postmortem pathology sites **Pediatric & tertiary care hospitals:** disease index or discharge index, specialty outpatient clinics, selected postmortem pathology

sites *Other specialty facilities:* prenatal diagnostic facilities (ultrasound, cytogenetics, etc.), cytogenetic laboratories, genetic clinics

Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: selected chart reviews for prenatal to age 3, statistical trends monitoring (20 conditions - categories), selected death and fetal deaths, fetal alcohol syndrome (to age 7), active case ascertainment data sources (postmortem pathology and specialty clinics), quality control (selected procedures), and others as needed *Coding:* ICD-9-CM, extended code utilized to describe syndromes, further detail of a condition, and to specify status

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, pregnancy/delivery complications *Father:* identification information (name, address, date-of-birth,

etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: SAS, MS Access, ArcView (GIS software), Maptitude, SaTScan

Quality assurance: validity checks, comparison/verification between multiple data sources, timeliness, ongoing quality control procedures: problematic conditions, situations and data sources; data inconsistencies; records linkage and de-duplication; clinical review performed when necessary

Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, time-space cluster analyses, capturerecapture analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, referral, grant proposals, education/public awareness, prevention projects, environmental studies

System Integration

System links: final vital records birth file, Newborn Hearing Screening and Newborn Genetic Screening; Integrated Registration Information System (IRIS) (an MCH based system)

Funding

Funding source: general state funds 30%, CDC grant 70%

Other

Web site: http://www.cdphe.state.co.us/dc/crcsn/crcsnhome.asp Additional information on file: CRCSN Reference Guide; CRCSN Community Notification and Referral Program Site Manual; Fact sheets (available on web site)

Contacts

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Connecticut

Connecticut Birth Defects Registry (CTBDR)

Purpose: surveillance, service, prevention, reporting for MCH Block Grant

Partner: hospital, child program, advocacy Program status: currently collecting data

Start year: 2002

Earliest year of available data: to be determined *Organizational location:* Department of Public Health (Maternal and Child Health)

Population covered annually: 45,000

Statewide: yes

Current legislation or rule: Sec. 19a-56a. (Formerly Sec. 10a-132b). Birth defects surveillance program.; Sec. 19a-54. (Formerly Sec. 19-21a). Registration of physically handicapped children. Sec. 19a-53. (Formerly Sec. 19-21). Reports of physical defects of children.

Legislation year enacted: Sec. 10a-132b: 1991; Sec. 19-21a: 1949 Sec. 19-21: 1949

Case Definition

Outcomes covered: all major structural birth defects; biochemical, genetic and hearing impairment through linkage with Newborn Screening System; any condition which places a child at risk for needing specialized medical care (i.e., complications of prematurity, cancer, trauma, etc.); ICD-9 codes 740 thru 759.9 and 760.71

Pregnancy outcome: live births (all gestational ages and birth weights, PDA GE to 2500 gms birth weight) Age: up to one year after delivery for birth defects Residence: in and out of state births to state residents

Surveillance Methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, inpatient hospitalizations, ambulatory surgery and emergency room visits

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, developmental disabilities surveillance Delivery hospitals: disease index or discharge index, reports from health care professionals in newborn nurseries and NICUs. Pediatric & tertiary care hospitals: disease index or discharge index, reports from health care professionals in pediatric inpatient and outpatient services planned for future

Other sources: physician reports, mandatory reporting by health care providers and facilities; CSHCN Programs; Newborn Screening System (for genetic disorders and hearing impairment).

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, cases from birth admissions where the reporting form is the sole source of case ascertainment, cases of multiple anomalies without a specified syndrome, cases where diagnoses are qualified as 'preliminary' or 'rule-out', all cases of chromosomal anomalies lacking confirmation by karyotype, and a 10% random sample, stratified on birth hospital, of all obvious birth defects that were not reported from birth admission but documented from pediatric reports or in the CHIME database *Coding;* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, prenatal care, pregnancy/delivery complications,

maternal risk factors

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, Oracle

Data Analysis

Data analysis software: SAS, MS Access Quality assurance: validity checks, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness

Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects, provider education

System Integration

System links: final birth file

Funding

Funding source: MCH funds 100%

Other

Procedure manual available: yes

Contact

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Delaware

Delaware Birth Defects Surveillance Project

Purpose: surveillance, prevention Partner: hospital, child program Program status: currently collecting data Organizational location: Department of Health and Social Services, Division of Public Health, Community Health Care Access Population covered annually: 11,046

Statewide: yes

Current legislation or rule: House Bill No. 197, an act to amend Title 16 of the Delaware Code relating to Birth Defects *Legislation year enacted:* 1997

Case Definition

Outcomes covered: Birth Defects Registry - all birth defects for passive surveillance, selected birth defects for active surveillance, developmental disabilities if due to a birth defect, selected metabolic defects, genetic diseases, infant mortality, congenital infections

Pregnancy outcome: live births (any gestation for live birth, >20 weeks for fetal death.), fetal deaths – stillbirths, spontaneous abortions, etc.

Age: birth to 5 years

Residence: in-state and out-of-state birth to state resident, and instate birth to state non-resident

Surveillance Methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, death certificates, hospital discharge records/data

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn metabolic screening program, cancer registry, HIV/AIDS registry Delivery hospitals: disease index or discharge index Pediatric & tertiary care hospitals: disease index or discharge index

Case Ascertainment

Coding: ICD-9-CM, six-digit modified BPA/ICD-9 codes

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), infant complications

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.),

gravidity/parity, prenatal care, pregnancy/delivery complications, maternal risk factors

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: electronic birth certificate

Data Analysis

Data analysis software:

Data use and analysis: baseline rates, time trends, time-space

cluster analyses, observed vs expected analyses, needs assessment

System Integration

System links: link to Newborn Screening *System integration:* initial check into Newborn Screening records with a link, which pulls info to Birth Defects Registry from Newborn Screening system

Contacts

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

District of Columbia

District Of Columbia Birth Defects Surveillance And Prevention Program (DC BDSPP)

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, child program, advocacy Program status: currently collecting data

Start year: 603

Earliest year of available data: 03

Organizational location: Department of Health (Maternal and Child Health)

Population covered annually: 15,000 (approximately half are to District residents)

Statewide: yes

Case Definition

Outcomes covered: major birth defects and genetic disorders. *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), >500gm

Age: up to one year after birth except in the case of FAS, which is up to six years

Residence: state resident at the time of diagnosis

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs

Pediatric & tertiary care hospitals: discharge summaries, ICU/NICU logs or charts, specialty outpatient clinics

Third party payers: Medicaid databases

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all stillborn infants, all neonatal deaths, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases, ICD9-CM 740-741.9, 742.3, 743.1, 744-748.5, 749-749.25,750-751.6, 758-758.2, 760.71, 389, 243, 270.1, 270.3, 271.1, 282.2, 282.4-.63, 282.69, 282.7

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, developmental delay, all infant deaths (excluding prematurity), auditory/hearing conditions, any infant with a codable defect *Coding:* CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic

information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: Oracle

Data Analysis

Data analysis software: Epi-Info, SPSS, SAS Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, time-space cluster analyses, observed vs expected analyses, epidemiologic studies (using only program data), needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file

Funding

Funding source: MCH funds 100%

Other

Procedure manual available: yes

Contacts

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Birth Defects Research (Part A) 73:700-757 (2005)

Florida

Florida Birth Defects Registry (FBDR)

Purpose: surveillance, research, service, prevention, educate health care professionals

Partner: Department of Health, university, hospital, environment, child program, advocacy, legislator, federal and state agencies

Program status: currently collecting data Start year: 1998

Earliest year of available data: 1996

Organizational location: Department of Health

(Epidemiology/Environment), Florida Department of Health, Bureau of Community Environmental Health, University *Population covered annually:* 212,243 in 2003

Statewide: yes

Current legislation or rule: Section 381.0031(1,2) F.S., allows for development of a list of reportable conditions. Birth defects were added to the list in July 1999.

Case Definition

Outcomes covered: major structural malformations and selected genetic disorders

Pregnancy outcome: live births, fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater) Age: until age one Residence: Florida

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, cancer registry

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs

Third party payers: Medicaid databases, health maintenance organizations (HMOs)

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), genetic counseling/clinical genetic facilities *Other sources:* physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with selected procedure codes, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease)

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), auditory/hearing conditions

Coding: CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic

information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access, Excel

Data Analysis

Data analysis software: SPSS, SAS, MS Access, Excel Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file, environmental

Funding

Funding source: general state funds 70%, CDC grant 30%

<u>Other</u>

Web site: http://www.fbdr.org Surveillance reports on file: 1996 Annual Report, Neural Tube Defects Report, Data Quality Assurance Report, Active Surveillance Report, Website Procedure manual available: yes Additional information on file: grants, progress reports, educational and health promotion materials, and video tapes

Contacts

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Georgia

Centers for Disease Control and Prevention, Metropolitan Atlanta Congenital Defects Program (MACDP)

Purpose: surveillance, research, service

Partner: university, hospital Program status: currently collecting data

Start year: 1967

Earliest year of available data: 1968

Organizational location: CDC, National Center on Birth Defects and Developmental Disabilities

Population covered annually: 51676

Statewide: no, births to mothers residing within one of five central counties in the metropolitan Atlanta area of the state of Georgia

Current legislation or rule: State Laws Official Georgia Code Annotated (OCGA) 31-12-2

Case Definition

Outcomes covered: major structural or genetic birth defects *Pregnancy outcome:* live births (≥20 weeks), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), elective terminations (<20 week gestation, 20 weeks gestation and greater)

Age: before 6 years of age

Residence: births to mothers residing in one of five central metropolitan Atlanta counties

Surveillance Methods

Case ascertainment: active case ascertainment; populationbased; combination of active and passive case ascertainment for cases ascertained only at perinatal offices

Case finding/identification sources:

Vital records: birth certificates, fetal death certificates *Delivery hospitals:* disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs,

postmortem/pathology logs, induction logs and miscarriage logs *Pediatric & tertiary care hospitals:* disease index or discharge index, discharge summaries

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with a CDC/BPA code, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, infant low birth weight or gestation (birth weight <2500 grams or <36 weeks gestation), all stillborn infants, all neonatal deaths, all elective abortions, all infants with low APGAR scores, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn

period: facial dysmorphism or abnormal facies, failure to thrive, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), cardiovascular condition, occular conditions, auditory/hearing conditions, any infant with a codable defect *Coding:* CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal diagnostic information, pregnancy/delivery complications, family history *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: Epi-Info, Mainframe

Data Analysis

Data analysis software: SPSS, SAS, MS Access Quality assurance: validity checks, double-checking of assigned codes, data/hospital audits, clinical review, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, time-space cluster analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, service delivery, prevention projects, survival analysis

System Integration

System links: state registry, final birth file, environmental, National Death Index

Funding

Funding source: other federal funding 100%

<u>Other</u>

Web site: http://www.cdc.gov/ncbddd/bd/macdp.htm Surveillance reports on file: numerous reports and bibliography Procedure manual available: yes Additional information on file: rate tables by defect by year Comments: For surveillance reports and other information regarding the MACDP, e-mail MACDP@cdc.gov.

Contacts

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

Georgia

Georgia Birth Defects Reporting and Information System (GBDRIS)

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, advocacy Program status: currently collecting data Start year: 2003

Earliest year of available data: 2004 Organizational location: Department of Health

(Epidemiology/Environment)

Population covered annually: 135,000

Statewide: yes

Current legislation or rule: Birth defects are reportable under State Laws Official Code of Georgia Annotated (OCGA) 31-12-2 and 31-1-3.2 which mandate the reporting of notifiable diseases and newborn hearing screening, and Chapters 290-5-3-.02 and 290-5-24 of the Rules of Department of Human Resources, which regulate the reporting of notifiable diseases and metabolic disorders.

Legislation year enacted: updated in 2003

Case Definition

Outcomes covered: major birth defects, genetic diseases, FAS and CP

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, 20 weeks gestation and greater), elective terminations

Age: up to 6 years of age

Residence: in and out of state births to state residents

Surveillance Methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, newborn biochemical screening program *Delivery hospitals:* disease index or discharge index, discharge summaries

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries

Third party payers: Medicaid databases

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease) *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

<u>Data Collection Methods and Storage</u> Database storage/management: MS Access

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness

Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, epidemiologic studies (using only program data), service delivery, grant proposals, education/public awareness

System Integration

System links: state registry, final birth file

System integration: Newborn Surveillance and Tracking System (NSTS) is under development. Will integrate multiple children health systems including birth defects, UNHS, NBS and Children 1st.

Funding

Funding source: general state funds 60%, other federal funding 40%

Other

Web site:

http://health.state.ga.us/epi/mch/birthdefects/gbdris/index.asp Procedure manual available: yes

Comments: Contact 3: Nicole Tucker, MPH, GA Division of Public Health, MCH Epidemiology Section, 2 Peachtree Street, NW, Suite 14-414, Atlanta, GA 30303, Phone: 404-651-5196, E-mail: ntucker@dhr.state.ga.us

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Hawaii

Hawaii Birth Defects Program (HBDP)

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, nursing, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 1988

Earliest year of available data: 1986

Organizational location: combination of DOH/Children with Special Health Needs Branch and the Research Corporation of the University of Hawaii

Population covered annually: ~19,684 average over last 17 years Statewide: yes

Current legislation or rule: 8/15/1988 to 6/30/2002 - Hawaii Revised Statutes (HRS), Sections 321-31 and 338-2 in conjunction per Executive Chamber ruling by Governor on 6/16/1989. HRS Sections 324-1 and 324-2 for additional legislative authority (1990 Amendments). 7/1/02 to Present - Act 252 (2002) - Relating to Birth Defects (SB 2763, SD 2, HD 2, CD 1).

Legislation year enacted: 1989, 1990 and 2002

Case Definition

Outcomes covered: All ~1,154+ outcomes recommended by CDC in their May 1987 Birth Defects Branch Six Digit Code for Reportable Congenital Anomalies, based on BPA Classification of Diseases (1979) and WHO ICD-9 CM (1977).

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 week gestation, 20 weeks gestation and greater), elective terminations (all gestational ages), data collected on all elective medical terminations carried out because a screening test or diagnostic procedure documented that the fetus was severely impaired with a birth defect and the parents elected not to bring the baby to term

Age: up to one year after delivery

Residence: all in-state Hawaii births (resident and non-resident)

Surveillance Methods

Case ascertainment: active case ascertainment, population-based Case finding/identification sources:

Vital records: Vital records are used to supplement information collected from other data sources but are not used to primarily identify potential cases. Vital records data are also used as denominators for determining birth defects rates per 10,000 births.

Other state-based registries: The HBDP supplies aggregate, deidentified data to the entities listed; they do not supply data to the HBDP.

Delivery hospitals: disease index or discharge index, discharge summaries, postmortem/pathology logs; note: information from specific logs, laboratories, clinics, etc. are usually found in the medical record when doing chart review

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, information from specific logs, laboratories, clinics, etc. are usually found in the medical record when doing chart review

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected

list of ICD9-CM codes outside 740-759, any chart with a CDC/BPA code, all stillborn infants, all neonatal deaths, all prenatal diagnosed or suspected cases, medical terminations and spontaneous abortions where fetus was diagnosed with a birth defect and parents elected not to bring baby to term or mother spontaneously aborted

Conditions warranting a chart review beyond the newborn period: all infant deaths (excluding prematurity), childhood deaths between 1 and 6, any infant with a codable defect *Coding:* CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: MS Access

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness *Data use and analysis:* routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, grant proposals, education/public awareness, prevention projects, publication of 38 articles (as of 12/31/04) in peer-review professional journals

System Integration

System links: The HBDP is in the process of implementing a GIS statistical mapping project.

Funding

Funding source: general state funds 54%, CDC grant 31%, other federal funding 5%, private foundations 4%, Special Fund funding 6%

Other

Web site: http://www.hawaiibirthdefects.org *Surveillance reports on file:* 12 Hawaii Birth Defects Program Statewide Surveillance Data Reports: 1) 1989-1991, 2) 1988-1993, 3) 1988-1994, 4) 1988-1995, 5) 1987-1996, 6) 1986-1997, 7) 1986-1998, 8) 1986-1999, 9) 1986-2000, 10) 1986-2001, 11) 1986-2002, and 12) 1986-2003 (to be published soon) *Procedure manual available:* yes Additional information on file: HBDP informational brochure; copies of legislation; original and revised abstraction forms; abstraction manual; annual reports; HBDP data; quality assurance reports (completeness, accuracy, timeliness); presentation slides, special study reports

<u>Contact</u> Ruth D. Merz, MS Administrator Hawaii Birth Defects Program 76 North King Street, #208 Honolulu, HI 96817-5157 Phone: 808-587-4120 Fax: 808-587-4130 E-mail: hbdp@crch.hawaii.edu

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Idaho

Program status: No surveillance program

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

Illinois

Adverse Pregnancy Outcomes Reporting System (APORS)

Purpose: surveillance, service, prevention Partner: Department of Health, hospital, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 1988 Earliest year of available data: 1989 Organizational location: Department of Health (Epidemiology/Environment) Population covered annually: 182,000 Statewide: yes Current legislation or rule: Illinois Health and Hazardous Substances Registry Act (410 ILCS 525) Legislation year enacted: 1985

Case Definition

Outcomes covered: ICD-9-CM codes 740.0 through 759.9; infants positive for controlled substances; serious congenital infections; congenital endocrine, metabolic or immune disorders; congenital blood disorders; other conditions such as retinopathy of prematurity, fetal alcohol

Pregnancy outcome: live births, fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater) **Age:** end of newborn hospitalization **Residence:** in-state births mandatory

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based; birth defect diagnoses are confirmed in a review of medical records by APORS staff. *Case finding/identification sources:*

Vital records: birth certificates, fetal death certificates Delivery hospitals: disease index or discharge index, discharge summaries, hospitals mandated to identify newborn cases and report to IDPH

Pediatric & tertiary care hospitals: disease index or discharge index, hospitals mandated to report newborns discharged from any to the NICU or specialty units

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with a CDC/BPA code, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), infant low birth weight or gestation (<1500 grams), all neonatal deaths **Coding:** CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, FoxPro, Mainframe

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, rates by demographic and other variables, time trends, epidemiologic studies (using only program data), needs assessment, service delivery, referral, grant proposals, education/public awareness

System Integration

System links: final birth file System integration: no

Funding

Funding source: general state funds 73%, CDC grant 27%

Other

Web site: http://www.idph.state.il.us/about/epi/aporsrpt.htm Surveillance reports on file: available on website

Contacts

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Indiana

Indiana Birth Defects and Problems Registry (IBDPR)

Purpose: surveillance, research, service

Partner: universities, hospitals, early childhood prevention programs, advocacy groups, legislators, state and federal agencies Program status: currently collecting data

Start year: 2002

Earliest year of available data: 2003 birth data will be available in 2006

Organizational location: Department of Health (Maternal and Children's Special Health Care Services)

Population covered annually: 88,000

Statewide: yes

Current legislation or rule: IC 16-38-4-7 Rule 410 IAC 21-3 Legislation year enacted: 2001

Case Definition

Outcomes covered: ICD-9-CM Codes 740-759.9, Fetal Alcohol Spectrum Disorder (760.71), Pervasive Developmental Disorder (299.0), fetal deaths, metabolic and hearing disorders from Newborn Screening, selected neoplasms, and congenital blood disorders, and certain eye disorders.

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), elective terminations (20 weeks gestation and greater)

Age: up to 5 years

Residence: in- and out-of-state births to state residents

Surveillance Methods

Case ascertainment: passive case ascertainment, populationbased, combined with selected active confirmation

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates, elective termination certificates

Other state-based registries: newborn hearing screening program, cancer registry, newborn biochemical screening lab data

Delivery hospitals: disease index or discharge index, chart audits of 45 targeted birth defects

Pediatric & tertiary care hospitals: discharge summaries, chart audits of 45 targeted birth defects

Other specialty facilities: genetic counseling/clinical genetic facilities, prenatal diagnostic facilities (ultrasound, etc.) (planning)

Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart whose ICD-9-CM falls within the 45 targeted medical conditions

Coding: ICD-9-CM and BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: Oracle

Data Analysis

Data analysis software: SAS Quality assurance: validity checks, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness

System Integration

System links: state registry, final birth file *System integration:* The database is linked with births, deaths, fetal deaths, and newborn screening data.

Funding

Funding source: Genetic Implementation Grant 25%, MCH funds 75%

Other

Web site: http://www.in.gov/isdh/programs/idbpr

Contact

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Iowa Registry for Congenital and Inherited Disorders (IRCID)

Purpose: surveillance, research, service, prevention, prevention education programs

Partner: Department of Health, university, hospital, environment, legislator Program status: currently collecting data Start year: 1983 Earliest year of available data: 1983 Organizational location: university Population covered annually: 37,831 avg 10 yr Statewide: yes Current legislation or rule: Iowa Code 136A, Iowa Administrative Code 641-4.7 Legislation year enacted: 1986; revised 2001, 2003, 2004

Case Definition

Outcomes covered: major birth defects, Duchenne/Becker muscular dystrophy

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 week gestation, \geq 20 weeks gestation), elective terminations **Age:** 1 year

Residence: maternal residence in Iowa at time of delivery

Surveillance Methods

Case ascertainment: active case ascertainment, population-based *Case finding/identification sources:*

Vital records: birth, death, and fetal death certificates Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs.

postmortem/pathology logs, surgery logs, specialty outpatient clinics

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, surgery logs, laboratory logs, specialty outpatient clinics

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports, outpatient surgery facilities Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, a selected list of ICD9-CM codes outside 740-759, a CDC/BPA code, selected procedure codes, selected defects or medical conditions (e.g. abnormal facies, CHD); any birth certificate with a birth defect box checked; all stillborn infants, neonatal deaths, elective abortions, prenatally diagnosed or suspected cases; muscular dystrophy *Conditions warranting a chart review beyond the newborn period:* facial dysmorphism or abnormal facies, failure to thrive, developmental delay, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), cardiovascular conditions, auditory/hearing conditions, any infant with a codable defect *Coding:* CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-of-

birth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage

Database storage/management: MS Access, Oracle, PC server

Data Analysis

Data analysis software: SPSS, SAS, MS Access, Oracle Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, capturerecapture analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file, environmental *System integration:* no

Funding

Funding source: general state funds 35%, CDC grant 65%

Other

Web site: http://www.public-health.uiowa.edu/ircid *Surveillance reports on file:* registry reports for 2000, 2002, 2005 *Procedure manual available:* yes

Comments: former name: Iowa Birth Defects Registry

Contacts

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Kansas

Birth Defects Reporting System

Purpose: registry Partner: hospital Program status: currently collecting data Start year: 1985 Earliest year of available data: 1985 Organizational location: Department of Health (Vital Statistics), Department of Health (Maternal and Child Health) Population covered annually: 39,353 (Year 2003) Statewide: yes Current legislation or rule: KSA 65-102; KSA 75-3715a (Senate Bill No. 418)

Legislation year enacted: 1979; 2004

Case Definition

Outcomes covered: The outcome data below are available from Office of Vital Statistics, but are not used as part of a birth defects surveillance system. Twenty-four anomalies are listed on the birth certificate and are reported; however, these are not linked to ICD codes.

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, 20 weeks gestation and greater), elective terminations (all gestational ages)

Age: passive reporting on congenital malformation reports continues through the first year of life

Residence: in- and out-of-state births to Kansas residents and instate births to out of state residents

Surveillance Methods

Case ascertainment: passive case ascertainment, hospital-based Case finding/identification sources:

Vital records: birth certificates

Pediatric & tertiary care hospitals: congenital malformations reporting form - sent by hospitals for infants up to one year of age

Data sharing agreements with other states: yes

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: Mainframe

Data Analysis

Data analysis software: SAS, ad-hoc summary reports developed as needed from Crystal Reports

Quality assurance: Office of Vital Statistics conducts verification on birth certificate data.

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring

outbreaks and cluster investigations, time trends, grant proposals, ad-hoc upon request

System Integration

System links: state registry *System integration:* program has a link with vital statistics records

Funding

Funding source: MCH funds 100%

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Greg G. Crawford, BS Chief, Vital Statistics Data Analysis Kansas Department of Health & Environment 1000 SW Jackson, Suite 130 Topeka, KS 66612-1354 Phone: 785-296-1531 Fax: 785-368-7118 E-mail: gcrawfor@kdhe.state.ks.us

Kentucky

Kentucky Birth Surveillance Registry (KBSR)

Purpose: surveillance, service, prevention of birth defects Partner: Department of Health, university, hospital, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 1996 Earliest year of available data: 1998

Organizational location: Department for Public Health, Division of Adult and Child Health Improvement, Early Childhood Branch

Population covered annually: 54,500

Statewide: yes

Current legislation or rule: KRS 211.651-211.670 Legislation year enacted: 1992

Case Definition

Outcomes covered: major birth defects, genetic diseases, fetal mortality

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (≥20 weeks gestation), 20 weeks or 350 gms., elective terminations (≥20 weeks gestation), elective terminations prior to 20 weeks are identified in pilot active surveillance project at eight hospitals in Kentucky which represent 36% of births *Age:* up to fifth birthday

Residence: all in-state births; out-of-state births to state residents

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates, medical laboratory reporting mandated; outpatient reporting voluntary

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), ICU/NICU logs or charts, specialty outpatient clinics, laboratory records Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, laboratory records

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities Other sources: physician reports, local health departments

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, failure to thrive, CNS condition (e.g. seizure), cardiovascular condition, any infant with a codable defect

Coding: ICD-9-CM, ICD-10 for Vital Statistics death data

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic info Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history Father: identification information (name, address, date-of-birth,

etc.), demographic info (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage

Database storage/management: MS Access, Mainframe

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness *Data use and analysis:* routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects, IRB-approved research projects

System Integration

System links: final birth file

Funding

Funding source: general state funds 75%, CDC grant 25%

Other

Web site: http://chfs.ky.gov/dph/ach/kbsr.htm Surveillance reports on file: legislation and regulation, Hospital Reporting Administrative Manual, draft confidentiality guidelines, conditions definitions, KBSR fact sheet, KBSR brochure Procedure manual available: yes

Contacts

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Sandy G Fawbush, RN Nurse Consultant/Inspector, KY Dept. for Public Health 275 East Main Street, HS 2W-C Frankfort, KY 40621 Phone: 502-564-3756 x3761 Fax: 502-564-8389 E-mail: sandy.fawbush@ky.gov Louisiana Louisiana Birth Defects Monitoring Network (LBDMN)

Purpose: surveillance, service Partner: university, hospital, child program, advocacy Program status: currently collecting data Start year: 2005 Earliest year of available data: 2005 Organizational location: Office of Public Health, Children's Special Health Services Population covered annually: 21,000 (for 2005) Statewide: no; covering Bossier, Caddo, Calcasieu, Cameron, East Baton Rouge, and Orleans parishes in 2005 Current legislation or rule: LA R.S. 40:31.41 – 40:31.48. Rule: LAC 48:V. Chapters 161 and 163 Legislation year enacted: 2001

Case Definition

Outcomes covered: major structural birth defects, selected genetic diseases and metabolic disorders Pregnancy outcome: live births (20 weeks gestation and greater or birth weight ≥350gms.) Age: up to third birthday Residence: in- and out-of-state births to state residents

Surveillance Methods

Case ascertainment: active case ascertainment Case finding/identification sources: Vital records: in progress Other state-based registries: in progress Delivery hospitals: disease index or discharge index, discharge summaries Pediatric & tertiary care hospitals: in progress Third party payers: in progress Other sources: in progress

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD-9-CM code 740-759, 760.71, or 762.8, plus selected codes for endocrine, metabolic, immune and blood disorders

Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.),

gravidity/parity, illnesses/conditions, prenatal care, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: in progress

<u>Data Analysis</u> Data analysis software: in progress

Quality assurance: in progress Data use and analysis: in progress

<u>System Integration</u> System links: in progress

Other

Web site: http://oph.dhh.state.la.us/childrensspecial/birthdefect/index.html

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Maine

Maine Birth Defects Program (MBDP)

Purpose: surveillance, service, prevention Partner: university, hospital, nursing, environment, child program, advocacy Program status: currently collecting data Start year: 1999 Earliest year of available data: reporting began May 1, 2003 Organizational location: Department of Health and Human Services Population covered annually: 13,500 Statewide: yes Current legislation or rule: 22 MRSA c. 1687 Legislation year enacted: 1999

Case Definition

Outcomes covered: selected major birth defects: NTD, clefts, gastroschisis, omphalocele, trisomy 21 and major heart defects **Pregnancy outcome:** live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (>20 weeks gestation), prenatally diagnosed at any gestation, elective terminations, prenatally diagnosed at any gestation **Age:** through age one

Residence: all in-state births to Maine residents

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs, Newborn Hearing and Newborn Screening Programs Delivery hospitals: disease index or discharge index, specialty outpatient clinics (Spina Bifida, Cleft lip and palate, high-risk OB)

Pediatric & tertiary care hospitals: disease index or discharge index, ICU/NICU logs or charts, pediatric logs, specialty outpatient clinics

Midwifery facilities: Ballard House

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all stillborn infants, all neonatal deaths, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, cardiovascular condition, all infant deaths (excluding prematurity), any infant with a codable defect

Coding: CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history *Father:* identification information (name, address, date-of-birth, etc.), address, date-of-birth, etc.).

etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access, Citrix, University of Maine/Center for Excellence in Developmental Disabilities ChildLINK database system electronic abstraction record/hospital case reports/electronic submission of hospital discharge data. On-line hospital case report form.

Data Analysis

Data analysis software: SAS, Stat-exact

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness

Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, capture-recapture analyses, observed vs expected analyses, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file

Funding

Funding source: Currently, MCH funds pending additional funding sources

Other

Web site: http://www.maine.gov/dhhs/boh/cshn/home.html Additional information on file: program manual in draft form/newsletter/brochure

Contacts

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Maryland

Maryland Birth Defects Reporting and Information System (BDRIS)

Purpose: surveillance, research, service

Partner: Department of Health, university, hospital, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 1983

Earliest year of available data: 1984

Organizational location: Family Health Administration, Office for Genetics & Children with Special Health Care Needs Population covered annually: 68,000 Statewide: yes

Current legislation or rule: Health-General Article, Section 18-206; Annotated Code of Maryland *Legislation year enacted:* 1982

Case Definition

Outcomes covered: selected birth defects: anencephaly, spina bifida, hydrocephaly, cleft lip, cleft palate, esophageal atresia/stenosis, rectal/anal atresia, hypospadias, reduction deformity - upper or lower limb, congenital hip dislocation, and Down syndrome

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), or \geq 500 grams weight; reports accepted on fetal deaths <500 grams or <20 weeks gestation, elective terminations, reports accepted on terminations **Age:** newborn

Residence: all in-state births

Surveillance Methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, fetal death certificates *Other state-based registries:* programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, Sickle Cell Disease

Delivery hospitals: obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, primary source: sentinel birth defects hospital report form

Pediatric & tertiary care hospitals: discharge summaries, ICU/NICU logs or charts, primary source: sentinel birth defects hospital report form

Other specialty facilities: genetic counseling/clinical genetic facilities, maternal serum screening facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all fetal death certificates *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage

Database storage/management: MS Access, Mainframe, Visual dBASE, SAS, ASCII files

Data Analysis

Data analysis software: SAS

Quality assurance: validity checks, double-checking of assigned codes, comparison/verification between multiple data sources **Data use and analysis:** routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, time-space cluster analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: in the process of linkage with other state registries/databases *System integration:* no

Funding

Funding source: general state funds 100%

Other

Web site: http://www.fha.state.md.us/genetics (then select Birth Defects Reporting Information)

Surveillance reports on file: provisional surveillance reports 1984-1992; 1995-2002

Procedure manual available: yes

Additional information on file: copies of publications,

legislation, miscellaneous booklets and other information related to birth defects surveillance in Maryland

Contacts

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Massachusetts

Massachusetts Center for Birth Defects Research and Prevention (MCBDRP), Birth Defect Monitoring Program, MA Dept. of Public Health

Purpose: surveillance, research, service, prevention Partner: university, hospital, environment, advocacy Program status: currently collecting data Start year: 1997

Earliest year of available data: 1999 for statewide data Organizational location: Bureau of Family and Community Health

Population covered annually: 81,000 Statewide: yes

Current legislation or rule: Massachusetts General Laws, Chapter 111, Section 67E. In 2002 the Massachusetts Legislature amended this statute, expanding the birth defects monitoring program. The new law: 1) increases mandated reporting up to age three; 2) requires physicians to report to MDPH within 30 days of diagnosis; 3) sets out requirements for the use of this data; 4) requires MDPH to promulgate regulations governing the operation of the Birth Defects Monitoring Program. Legislation year enacted: 1963

Case Definition

Outcomes covered: major structural birth defects and chromosomal anomalies of medical, surgical or cosmetic significance

Pregnancy outcome: live births, reportable fetal deaths (>20 weeks gestation or \geq 350 grams)

Age: up to one year, up to three years when new legislation implemented (regulations pending)

Residence: in and out-of-state births to state residents

Surveillance Methods

Case ascertainment: population-based, state-wide, combination of active case ascertainment and administrative review

Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Delivery hospitals: disease index or discharge index, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, postmortem/pathology logs

Pediatric & tertiary care hospitals: disease index or discharge index, ICU/NICU logs or charts, postmortem/pathology logs Other sources: physician reports

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), all stillborn infants

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, auditory/hearing conditions, any infant with a codable defect Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth,

etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage Database storage/management: MS Access

Data Analysis

Data analysis software: SAS, MS Access, Excel Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, grant proposals, selected cases from surveillance are eligible for CDC's National Birth Defects Prevention Study

System Integration

System links: final birth file, fetal death file, Massachusetts Pregnancy to Early Life Longitudinal (PELL) Linkage Project

Funding

Funding source: general state funds 10%, CDC grant 90%

Other

Web site: http://www.mass.gov/dph/fch/birthdefects/bdefects.htm Surveillance reports on file: first surveillance report published in November 2001, available online at

http://www.mass.gov/dph/fch/birthdefects/bdefects.htm or by calling contacts; MCBDRP Prevention and Resource Booklet at http://www.mass.gov/dph/fch/birthdefects/bdefects.htm Procedure manual available: yes

Comments: statewide coverage started October 1998

Contacts

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Michigan

Michigan Birth Defects Registry (MBDR)

Purpose: surveillance, research, service, prevention, incidence and mortality statistics

Partner: Department of Health, university, hospital,

environment, advocacy, legislator Program status: currently collecting data

Start year: 1992

Earliest year of available data: 1992

Organizational location: Department of Health (Epidemiology/Environment), Department of Health (Vital Statistics)

Population covered annually: 135,000

Statewide: yes

Current legislation or rule: Public Act 236 of 1988 Legislation year enacted: 1988

Case Definition

Outcomes covered: congenital anomalies, certain infectious diseases, conditions caused by maternal exposures and other diseases of major organ systems

Pregnancy outcome: live births (all gestational ages and birth weights)

Age: up to two years after delivery

Residence: Michigan births regardless of residence, out-of-state births diagnosed or treated in Michigan regardless of residence

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death reporting, cytogenic laboratories, genetics clinics, hospital discharge data

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, cancer registry, AIDS/HIV registry Delivery hospitals: disease index or discharge index, specialty

outpatient clinics

Pediatric & tertiary care hospitals: disease index or discharge index, specialty outpatient clinics

Third party payers: Medicaid databases

Other specialty facilities: cytogenetic laboratories, genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, all infant deaths (excluding prematurity), childhood deaths between 1 and 6, occular conditions, auditory/hearing conditions, any infant with a codable defect *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-of-

birth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: FoxPro

Data Analysis

Data analysis software: SPSS, MS Access, Fox-pro Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file, final mortality file *System integration:* no; data from vital records and other sources are extracted and loaded into registry as opposed to truly integrated database structures.

Funding

Funding source: general state funds 75%, CDC grant 25%

Other

Web site: http://www.michigan.gov/mdch/0,1607,7-132-2944_4670---,00.html Surveillance reports on file: birth defects incidence and mortality annual reports Procedure manual available: yes

Contacts

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Minnesota

Minnesota Birth Defects Information System (BDIS)

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, child program, advocacy, legislator Program status: currently collecting data Start year: 2005 Earliest year of available data: 2005

Organizational location: Environmental Health Population covered annually: 71,000 Statewide: no; the surveillance system will be phased in over a number of years. Implementation will begin in the two largest

counties in Minnesota Hennepin and Ramsey counties, which account for approximately 50 percent of the births. *Current legislation or rule:* MS 144.2215-2219 *Legislation year enacted:* 2004

Case Definition

Outcomes covered: major "reported birth defects" as defined by CDC and ICD-9 codes

Pregnancy outcome: live births (up to one year; FAS cases will include children up to 6 years of age) Age: up to 1 year after delivery Residence: in-state data

Surveillance Methods

Case ascertainment: active surveillance will be phased in based on resources; passive sources will also be used after validation *Case finding/identification sources:*

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates, infant death records *Other state-based registries:* programs for children with special needs, newborn hearing screening program, newborn biochemical screening program

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), ICU/NICU logs or charts, surgery logs, specialty outpatient clinics *Pediatric & tertiary care hospitals:* disease index or discharge

index, discharge summaries, ICU/NICU logs or charts, specialty outpatient clinics

Third party payers: Medicaid databases, health maintenance organizations (HMOs)

Other specialty facilities: cytogenetic laboratories, genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with a CDC/BPA code, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all infants in NICU or special care nursery

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies Coding: CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, Oracle, format/platform to be determined based on collaborative partners

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness, physician review as needed; additional quality control checks may be added after start-up

Data use and analysis: public health program evaluation, baseline rates, epidemiologic studies (using only program data), referral, grant proposals, education/public awareness, prevention projects, full system implementation in 2005; many of these listed above will be used

System Integration

System links: final birth file *System integration:* Program plans to integrate with Newborn Screening/Hearing and collaborate with other regional programs.

Funding

Funding source: general state funds 5%, CDC grant 90%, March of Dimes in-kind match to CDC grant 5%

Other

Web site: http://www.health.state.mn.us Surveillance reports on file: data summaries and services/prevention updates available on website Comments: System will use an opt-out format that will be monitored regularly to ensure that data trends remain valid.

Contacts

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Mississippi

Mississippi Birth Defects Registry (MBDR)

Purpose: surveillance Partner: Department of Health, hospital Program status: currently collecting data Start year: 2000 Earliest year of available data: 2000 Organizational location: Department of Health (Maternal and Child Health), Division of Genetic Services, Mississippi Department of Health Population covered annually: 42,000 Statewide: yes Current legislation or rule: section 41-21-205 of the Mississippi Code

Legislation year enacted: 1997

Case Definition

Outcomes covered: A birth defect is an abnormality of structure, function or metabolism, whether genetically determined or a result of environmental influences during embryonic or fetal life. A birth defect may present from the time of conception through one year after birth, or later in life.

Pregnancy outcome: live births (all gestational ages and birth weights), 350 grams or more

Age: 0 to 21

Residence: in- and out-of-state births to state residents

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment

Case finding/identification sources:

Vital records: birth certificates, fetal death certificates *Other state-based registries:* newborn hearing screening program, newborn biochemical screening program, cancer registry

Delivery hospitals: disease index or discharge index, discharge summaries

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries

Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any birth certificate with a birth defect box checked

Conditions warranting a chart review beyond the newborn period: CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, occular conditions, auditory/hearing conditions, any infant with a codable defect *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth,

etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: MS Access Quality assurance: validity checks Data use and analysis: routine statistical monitoring, education/public awareness

Funding

Funding source: MCH funds 10%, genetic screening revenues 90%

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Missouri

Missouri Birth Defects Registry

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 1985 Earliest year of available data: 1980

Organizational location: Department of Health (Vital Statistics) Population covered annually: 75,000 Statewide: yes

Case Definition

Outcomes covered: ICD9 codes 740-759, plus genetic, metabolic, and other disorders

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), fetal death certificates are only source of data, elective terminations; surveillance of terminations currently limited to NTDs, expansion in progress *Age:* up to one year after delivery

Residence: in- and out-of-state births to state residents

Surveillance Methods

Case ascertainment: passive case ascertainment, multi-source *Case finding/identification sources:*

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program

Delivery hospitals: discharge summaries

Pediatric & tertiary care hospitals: discharge summaries, specialty outpatient clinics

Other sources: enrollment data, Missouri Department of Mental Health

Case Ascertainment

Coding: ICD-9-CM, ICD-10

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, pregnancy/delivery complications

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage Database storage/management: SAS (Unix)

Data Analysis

Data analysis software: SAS

Quality assurance: validity checks, double-checking of assigned codes, comparison/verification between multiple data sources *Data use and analysis:* routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, time-space

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cluster analyses, observed vs expected analyses, epidemiologic studies (using only program data), needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: final birth file

Funding

Funding source: MCH funds 37%, service fees 54%, private foundations 9%

Other

Surveillance reports on file: Missouri Birth Defects 1995-99

Contact

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Montana

Montana Birth Outcomes Monitoring System (MBOMS)

Purpose: surveillance, service, prevention

Partner: Department of Health, hospital, advocacy, private practice physicians

Program status: currently collecting data Start year: 1999 Earliest year of available data: 2000 Organizational location: Department of Health (Maternal and

Child Health)

Population covered annually: 11,000 Statewide: yes

Current legislation or rule: none

Case Definition

Outcomes covered: major structural birth defects, chromosomal anomalies

Pregnancy outcome: live births (all gestational ages and birth weights, >20 weeks gestation & 500 grams), fetal deaths – stillbirths, spontaneous abortions, etc., all gestational ages, elective terminations *Age:* birth through age 3

Residence: in-state births and out of state births to MT residents

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment

Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program

Delivery hospitals: disease index or discharge index Third party payers: Medicaid databases, SSI referrals Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports, fetal pathology

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any birth certificate with a birth defect box checked

Conditions warranting a chart review beyond the newborn period: cardiovascular condition Coding: ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, prenatal diagnostic information, maternal risk factors

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage Database storage/management: Oracle

Data Analysis

Data analysis software: SAS, ClusterSeer 2

Quality assurance: double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry System integration: integrated with Children with Special Health Care Needs database

Funding

Funding source: CDC grant 100%

Contact

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Nebraska

Nebraska Birth Defects Registry

Purpose: surveillance, research; in the process of developing a program of referral to services and prevention programs *Partner:* hospital, Department of Health and Human Services and MCH

Program status: currently collecting data

Start year: 1973

Earliest year of available data: 1973

Organizational location: Nebraska Health and Human Services Regulation & Licensure Data Management Section

Population covered annually: statewide, 24,000+ births annually *Statewide:* yes

Current legislation or rule: Laws 1972, LB 1203, §1, §2, §3, §4 (alternate citation: Public Health and Welfare [Codes] §71-645, §71-646, §71-647, §71-648, §71-649) *Legislation year enacted:* 1972

Case Definition

Outcomes covered: all birth defects, exclusions according to CDC exclusion list

Pregnancy outcome: live births (>20 weeks and >500 grams), fetal deaths – stillbirths, spontaneous abortions, etc. (≥20 weeks gestation)

Age: birth to one year

Residence: in-state and out-of-state birth to state resident

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment

Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Delivery hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, Nebraska Birth Defects Prevention Program Congenital Defects Case Record

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, specialty outpatient clinics, Nebraska Birth Defects Prevention Program Congenital Defects Case Record

Other specialty facilities: genetic counseling/clinical genetic facilities

Other sources: physician reports

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, occular conditions, auditory/hearing conditions, any infant with a codable defect

Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect

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diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: Key-entry 3

Data Analysis

Data analysis software: SAS

Quality assurance: re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, case finding, data coding and entry

Data use and analysis: baseline rates, monitoring outbreaks and cluster investigations, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, grant proposals, Incidence rates, trend analysis, birth defect registry

Funding

Funding source: MCH funds 100%

Other

Surveillance reports on file: 2003 report in Vital Statistics Report. 2004 report will be available in published form in November of 2005.

Procedure manual available: yes

Additional information on file: copy of legislation, congenital defects case record form

Comments: State legislation introduced to allow release of patient-identifying information to approved researcher for the purpose of research; development of a system so that hospitals may use the electronic birth certificate to initially alert the surveillance program of the birth of a child with an anomaly.

Contact

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Nevada

Nevada Birth Defects Registry

Purpose: surveillance, service, prevention
Partner: Bureau of Health Planning and Statistics
Program status: currently collecting data
Start year: 2000
Earliest year of available data: 2000
Organizational location: State Division of Health, Bureau of Family Health Services (MCH)
Population covered annually: 33,000 in 2003
Statewide: no; year 2000 data is for Las Vegas only, statewide data completed for year 2001
Current legislation or rule: NRS 442.300 - 442.330 - Birth Defects Registry Legislation *** Regulation = NAC 442
Legislation year enacted: 1999

Case Definition

Outcomes covered: major birth defects and genetic diseases *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths (20 weeks gestation and greater), elective terminations (20 weeks gestation and greater) *Age:* cover 0-7 years of age *Residence:* in-state births

Surveillance Methods

Case ascertainment: combination of active and passive ascertainment initially; restarted active case ascertainment in July 2004

Case finding/identification sources:

Vital records: birth certificates, matched birth/death file, hospital medical records - for year 2000

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, cancer registry

Delivery hospitals: disease index or discharge index

Pediatric & tertiary care hospitals: disease index or discharge index

Third party payers: Medicaid databases

Other specialty facilities: genetic counseling/clinical genetic facilities

Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, any infant with a codable defect *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.),

illnesses/conditions, prenatal care, pregnancy/delivery complications, maternal risk factors *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, Oracle, Mainframe

Data Analysis

Data analysis software: SPSS, SAS, MS Access **Quality assurance:** double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, education/public awareness

System Integration

System links: state registry

System integration: Nevada has a data warehouse which is currently able to link approximately 30 databases. Plans for this system indicate eventual capacity to link up to 45 databases.

Funding

Funding source: service fees 100%

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New Hampshire

New Hampshire Birth Conditions Program (NHBCP)

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 2003

Earliest year of available data: 2003

Organizational location: Department of Health (Maternal and Child Health), Bureau of Special Medical Services: Bureau of Nutrition and Health Promotion, Department of Environmental Services Bureau of Environmental Health, University

Population covered annually: 14,000

Statewide: yes; currently collecting 2003 and 2004 data for all birth hospitals in the state

Current legislation or rule: Currently working toward a legislative rules revision that would include birth defects. Draft rules have been written and provided to NH's Department of Health and Human Services for inclusion in a 2005 NH DHHS Administrative Rules change proposal.

Case Definition

Outcomes covered: all major birth defects and genetic diseases recommended by CDC/NBDPN

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (\geq 20 weeks gestation), elective terminations

Age: fetuses >20 weeks gestation, newborns/infants up to 1 year *Residence:* all NH residents (born in- and out-of-state)

Surveillance Methods

Case ascertainment: active case ascertainment, population based Case finding/identification sources:

Vital records: birth certificates, fetal death certificates, fetal pathology reviews at Dartmouth Hitchcock Medical Center Other state-based registries: newborn hearing screening program Delivery hospitals: discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, medical records abstraction of charts of selected ICD 9 Codes Pediatric & tertiary care hospitals: discharge summaries, ICU/NICU logs or charts, postmortem/pathology logs, specialty outpatient clinics, cytogenetics laboratory, perinatal pathology logs, Medical Genetics Clinic files, molecular genetics laboratory, Prenatal Diagnosis Program files Other specialty facilities: prenatal diagnostic facilities

(ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities, maternal serum screening facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with a CDC/BPA code, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all stillborn infants, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect Coding: CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-of-

birth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, prenatal diagnostic information *Father:* identification information (name, address, date-of-birth, etc.)

Data Collection Methods and Storage

Database storage/management: Oracle, AURIS, a web-based reporting system currently utilized by the NH DHHS Newborn Hearing Screening Program, has added a module to the currently operating system to meet the birth defects tracking requirements.

Data Analysis

Data analysis software: SPSS, MS Access Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review Data use and analysis: Statewide data collection began January 2005 for calendar years 2003-2004. No data use or analysis has occurred in the previous 12 months; however, we anticipate preliminary analysis to begin in the summer of 2005.

System Integration

Integrated into the NH DHHS Newborn Hearing Screening Program registry, a statewide universal hearing program for all NH infants.

Funding

Funding source: CDC grant 100%

Other

Web site: in production (to be completed 9/2005) Surveillance reports on file: not at this time Procedure manual available: yes

Additional information on file: abstract for a pilot study on the comparison between birth certificate data and ICD-9 code data alone and with the use of medical chart abstraction for NH birth defects surveillance

Contacts

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New Jersey

Special Child Health Services Registry (SCHS REGISTRY)

Purpose: surveillance, research, service, prevention Partner: Department of Health, university, hospital, environment, child program, advocacy, legislator Program status: currently collecting data Start year: 1928

Earliest year of available data: 1985

Organizational location: Department of Health & Senior Services – Special Child, Adult, and Early Intervention Services Population covered annually: 114,500 Statewide: yes

Current legislation or rule: NJSA 26:8 et seq., NJAC 8:20 *Legislation year enacted:* 1983; effective date March 4, 1985; legislation changes 1990, 1991, 1992; readopted March 2005

Case Definition

Outcomes covered: all birth defects (including structural, genetic, and biochemical) required to be reported; while not mandated, all special needs and any condition which places a child at risk (e.g. prematurity, asthma, cancer, developmental delay) are also reported

Pregnancy outcome: live births (all gestational ages and birth weights)

Age: mandated reporting of birth defects diagnosed \leq age 2, voluntary reporting of birth defects diagnosed > age 2 and all children diagnosed with Special Needs conditions \leq 22 years *Residence:* in/out NJ births to NJ residents; because of our link to the SCHS Case Management system, we also enroll anyone becoming NJ residents

Surveillance Methods

Case ascertainment: passive, population-based reporting system with annual quality assurance visits by BDR staff to birthing hospitals, birthing centers and pediatric care facilities; medical providers contacted to confirm 'questionable' diagnoses; medical chart review conducted on all children registered with any of the defects eligible for participation in the NBDPS

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, AIDS/HIV registry

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs, postmortem/ pathology logs, surgery logs, cardiac catheterization laboratories, specialty outpatient clinics, quality assurance visit consisting of chart review of 3-month period

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, surgery logs, laboratory logs, cardiac catheterization laboratories, specialty outpatient clinics, quality assurance visit consisting of chart review of 3-month period

Other specialty facilities: cytogenetic laboratories, genetic counseling/clinical genetic facilities

Other sources: physician reports, Special Child Health Services county-based Case Management units, parents, schools, medical examiners

Case Ascertainment

Conditions warranting chart review in newborn period: any

chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, all neonatal deaths, all death certificates for <1 year of age

Conditions warranting a chart review beyond the newborn period: GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, all infant deaths (excluding prematurity), occular conditions, any infant with a codable defect Coding: ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.)

Father: identification information (name, address, date-of-birth, etc.)

Data Collection Methods and Storage Database storage/management: SAS

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: validity checks, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review, timeliness, merge registry with birth certificate registry and the death certificate registry **Data use and analysis:** routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time-space cluster analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file, link to hearing screening registry

System integration: hearing screening registry provides direct feed into SCHS Registry

Funding

Funding source: MCH funds 85%, genetic screening revenues 5%, CDC grant 10%

Other

Web site: http://www.state.nj.us/health/fhs/scregis.htm Surveillance reports on file: Special Child Health Services Registry (1985-1989, 1985-1991, 1985-1994, 1990-1999 [in press])

Additional information on file: information sheet, case record form, copy of legislation, quality assurance audit information

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New Mexico

New Mexico Birth Defects Prevention and Surveillance System (NM BDPASS)

Purpose: surveillance, research, service, prevention Partner: university, hospital, child program, legislature Program status: currently collecting data Start year: 1995 Earliest year of available data: 1995

Organizational location: Department of Health (Maternal and Child Health)

Population covered annually: 27,500

Statewide: yes

Current legislation or rule: In January 2000, birth defects became a reportable condition. These conditions are updated by the Office of Epidemiology. This did not involve legislation, only a change in regulations.

Legislation year enacted: January 1, 2000

Case Definition

Outcomes covered: 740-760.71

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths and terminations included in NTD counts **Age:** birth through age 14 years

Residence: in-state births to New Mexico residents (since 2001); previous years included out-of-state births to NM residents

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates

Other state-based registries: Children and Youth with Special Health Care Needs, Children's Chronic Conditions Registry Delivery hospitals: medical record chart review

Pediatric & tertiary care hospitals: specialty outpatient clinics, including neurosurgery and plastic surgery

Midwifery facilities: yes

Third party payers: Medicaid databases, health maintenance organizations (HMOs), Indian Health Services, Children's Medical Services (CMS)

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), genetic counseling/clinical genetic facilities Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759 and 760.71, any birth certificate with a birth defect box checked, all prenatally diagnosed or suspected cases, any chart with a list of other ICD9-CM codes selected by CMS

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect Coding: ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.) *Father:* identification information (name, address, date-of-birth,

etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage Database storage/management: MS Access, Excel

Data Analysis

Data analysis software: SAS

Quality assurance: validity checks, reabstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, grant proposals, education/public awareness, prevention projects, Mar03-Mar04 NM BDPASS did not have epidemiology staff; prevention teams developed teaching modules

System Integration

System links: state registry, final birth file System integration: BDPASS is integrated with the Children's Chronic Conditions Registry

Funding

Funding source: general state funds 16%, MCH funds 25%, CDC grant 59%

<u>Other</u>

Web site: http://www.health.state.nm.us Surveillance reports on file: Birth Defects in New Mexico 1997-1998; Birth Defects in New Mexico 1995-1999

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

New York

New York State Congenital Malformations Registry (CMR)

Purpose: surveillance, research, service, prevention Partner: university, hospital, child program, March of Dimes Program status: currently collecting data Start year: 1982 Earliest year of available data: 1983 Organizational location: Department of Health (Epidemiology/Environment)

Population covered annually: 260,000

Statewide: yes

Current legislation or rule: Public Health Law Art. 2, Title, II, Sect 225(5)(t) and Art. 2 Title I, sect 206(1)(j): Codes, Rules and Regulations, Chap 1, State Sanitary Code, part 22.3 *Legislation year enacted:* 1982

Case Definition

Outcomes covered: major malformations, detailed list available upon request

Pregnancy outcome: live births (all gestational ages and birth weights)

Age: 2 years

Residence: in- and out-of-state birth to state residents; in-state birth to nonresidents; all children born in or residing in New York, up to age 2

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population based

Case finding/identification sources:

Delivery hospitals: disease index or discharge index, ICU/NICU logs or charts

Pediatric & tertiary care hospitals: disease index or discharge index, ICU/NICU logs or charts

Other sources: physician reports, hospital discharge data

Case Ascertainment

Conditions warranting chart review in newborn period: charts with selected ICD-9CM codes in the 740-759 Coding: CDC coding system based on BPA, ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.) *Father:* identification information (name, address, date-of-birth, etc.), demographic information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, FoxPro, Sybase

Data Analysis

Data analysis software: SAS, MS Access, Visual FoxPro *Quality assurance:* validity checks, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, time-space

cluster analyses, capture-recapture analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry, final birth file, Statewide Hospital Discharge Database (SPARCS)

Funding

Funding source: general state funds 15%, MCH funds 24%, CDC grant 45%, other federal funding 16%

Other

Web site: http://www.health.state.ny.us/nysdoh/cmr/cmrhome.htm Surveillance reports on file: reports for 1983-2001. Procedure manual available: yes

Contacts

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North Carolina

North Carolina Birth Defects Monitoring Program (NCBDMP)

Purpose: surveillance, research, service, prevention, education, advocacy

Partner: Department of Health, university, hospital, child program, advocacy, legislator Program status: currently collecting data Start year: 1987 Earliest year of available data: 1989 Organizational location: Department of Health (Vital Statistics) Population covered annually: 118,000 Statewide: yes Current legislation or rule: NCGS 130A-131 Legislation year enacted: 1995

Case Definition

Outcomes covered: major birth defects Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), elective terminations Age: up to one year after delivery Residence: NC resident births, in-state and out-of-state occurrence

Surveillance Methods

Case ascertainment: population-based, active ascertainment Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery)

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts

Third party payers: Medicaid databases

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected procedure codes, any birth certificate with a birth defect box checked, all stillborn infants, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, Mainframe, SAS

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, capture-recapture analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects, advocacy

System Integration

System links: state registry, final birth file, Vital Statistics, Medicaid Paid Claims, MCH Program Data

Funding

Funding source: general state funds 55%, CDC grant 45%

Other

Web site: http://www.schs.state.nc.us/SCHS Surveillance reports on file: annual reports, special studies

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North Dakota

North Dakota Birth Defects Monitoring System (NDBDMS)

Purpose: surveillance Partner: university, March of Dimes Program status: currently collecting data Start year: 2002 Earliest year of available data: 1994 Organizational location: Department of Health (Vital Statistics), Department of Health (Maternal and Child Health), ND Department of Human Services (Children's Special Health Services)

Population covered annually: 7676

Statewide: yes

Current legislation or rule: North Dakota Centry code 50-10 Legislation year enacted: n/a

Case Definition

Outcomes covered: selected birth defects (NTDs, congenital heart defects, cleft lip and palate, chromosomal anomalies) and other risk factors that may lead to health and developmental problems

Pregnancy outcome: live births (all gestational ages and birth weights, numbers collected and reported via Vital Records), fetal deaths--stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater), numbers collected and reported via Vital Records, elective terminations (<20 weeks gestation, 20 weeks gestation and greater), numbers collected and reported via Vital Records

Age: newborn period

Residence: in-state resident births and out-of-state birth receiving services in ND

Surveillance Methods

Case ascertainment: passive case ascertainment Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, cancer registry, AIDS/HIV registry, FAS Delivery hospitals: birth certificate completion

Pediatric & tertiary care hospitals: specialty outpatient clinics Third party payers: Medicaid databases, health maintenance organizations (HMOs), private insurers

Other specialty facilities: genetic counseling/clinical genetic facilities

Other sources: physician reports

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked

Coding: ICD-9-CM, ICD 10

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information *Mother:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, Mainframe, Db2, SPSS, Excel

Data Analysis

Data analysis software: SPSS

Quality assurance: comparison/verification between multiple data sources, clinical review

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

Funding

Funding source: SSDI Grant

Other

Web site: http://www.vitalnd.com Procedure manual available: yes

Contact

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Ohio

Ohio Connections for Children with Special Needs (OCCSN)

Purpose: surveillance, research, service, prevention

Partner: Department of Health, university, hospital, child program, advocacy, legislator

Program status: program has not started collecting data

Start year: 2004

Earliest year of available data: n/a

Organizational location: Department of Health (Maternal and Child Health)

Population covered annually: system in development Statewide: system in development

Current legislation or rule: Ohio Revised Code (ORC) 3705.30 - 3705.36. "The Director of Health shall establish and, if funds for this purpose are available, implement a statewide birth defects information system for the collection of information concerning congenital anomalies, stillbirths, and abnormal conditions of newborns."

Legislation year enacted: 2000, administrative rules became effective June 3, 2005

Case Definition

Outcomes covered: 45 disorders recommended by NBDPN

<u>Surveillance Methods</u> Case ascertainment: passive reporting

Funding

Funding source: CDC grant 100%

Other

Comments: Contact 3: Norma J. Ryan, PhD, RN, CHES, Birth Defects Program Coordinator, Ohio Department of Health, 246 N. High Street, Columbus, OH 43215; Phone: 614-752-9523; Fax: 614-728-3616; Email: Nryan@odh.ohio.gov

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Oklahoma

Oklahoma Birth Defects Registry (OBDR)

Purpose: surveillance, prevention
Partner: hospital, child program, Cytogenetics/ & Medical Genetics
Program status: currently collecting data
Start year: 1992; statewide 1994
Earliest year of available data: 1992
Organizational location: Family Health Services
Population covered annually: 50,000
Statewide: yes

Current legislation or rule: 63 O.S. Section 1-550.2 *Legislation year enacted:* 1992

Case Definition

Outcomes covered: modified 6-digit ICD-9-CM codes for birth defects and genetic diseases (CDC/BPA)

Pregnancy outcome: live births (≥20 weeks gestation), fetal deaths – stillbirths, spontaneous abortions, etc. (≥20 weeks gestation), elective terminations (≥20 weeks gestation) *Age:* 2 years

Residence: in-state births to state residents

Surveillance Methods

Case ascertainment: active case ascertainment, population-based Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Delivery hospitals: disease index or discharge index, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs, surgery logs, specialty outpatient clinics **Pediatric & tertiary care hospitals:** disease index or discharge index, pediatric logs, surgery logs, specialty outpatient clinics **Third party payers:** Indian Health Services, military hospitals delivering babies

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with a CDC/BPA code

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, maternal risk factors, family history *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), family history

Data Collection Methods and Storage Database storage/management: MS Access

Data Analysis

Data analysis software: SAS, MS Access, ArcView GIS **Quality assurance:** validity checks, re-abstraction of cases, double-checking of assigned codes, timeliness, editing of all completed abstracts

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time-space cluster analyses, needs assessment, referral, grant proposals, education/public awareness, prevention projects, program quality assurance

System Integration

System links: 9/2003 awarded Environmental Public Health Tracking Grant to link health outcomes & environmental contamination data – in planning stages

Funding

Funding source: general state funds 13%, MCH funds 57%, CDC grant 30%

Other

Surveillance reports on file: 1992 & 1993 Annual Report combined for Oklahoma, Tulsa and Cleveland Counties; 1994-1998 Annual Report; Congenital Malformations Tables & Prevalence Rates/1994-2002

Procedure manual available: yes

Additional information on file: PRAMS Gram Vol 8 No 3: Folic Acid Knowledge and Multivitamin Use Among Oklahoma Women; provisional rate tables for 1994-2002. Comments: Statewide data collection began in 1994. Additional information for case definitions residence: 1995 began abstraction of Oklahoma residents born in Fort Smith, Arkansas.

Contact

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Oregon

Program status: No surveillance program

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Pennsylvania

Pennsylvania Follow-Up, Outreach, Referral and Education for Families (PA FORE FAMILIES)

Purpose: surveillance, service
Partner: university, hospital, nursing, advocacy
Program status: currently collecting data
Start year: 2003
Earliest year of available data: 2001
Organizational location: Department of Health (Maternal and Child Health)
Population covered annually: 142,380 resident live births in 2002; 145,485 resident live births in 2003
Statewide: yes

Case Definition

Outcomes covered: 740-759.9 and 760.71 ICD-9 Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc., 16 weeks gestation and greater Age: birth to 24 months of age Residence: in-state births to state residents

Surveillance methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, matched birth/death file, fetal death certificates, hospital discharge data collected by the Pennsylvania Health Care Cost Containment Council (PHC4--a state agency).

Delivery hospitals: PHC4 data is based on hospital discharge summaries

Pediatric & tertiary care hospitals: PHC4 data is based on hospital discharge summaries

Case Ascertainment

Coding: ICD-9-CM, limited to ICD9-CM 740-759.9 and 760.71

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), infant complications, birth defect diagnostic information **Mother:** identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access, Mainframe

Data Analysis

Data analysis software: SAS, MS Access Quality assurance: validity checks, comparison/verification between multiple data sources, data/hospital audits Data use and analysis: routine statistical monitoring, public health program evaluation, baseline rates, rates by demographic and other variables, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects

Funding

Funding source: MCH funds 100%

Other

Comments: Pennsylvania has developed a PA FORE Families Handbook that contains general information about how parents and caregivers of children with special needs can access free or low cost insurance coverage and specialized support services.

Contacts

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

Puerto Rico

Puerto Rico Folic Acid Campaign and Birth Defects Surveillance System (PRFAC/BDSS)

Purpose: surveillance, research, service, prevention
Partner: Department of Health, university, hospital, nursing, child program, advocacy
Program status: currently collecting data
Start year: 1995
Earliest year of available data: 1995
Organizational location: Division of Children with Special
Health Care Needs
Population covered annually: 56,000
Statewide: yes
Current legislation or rule: Law 351
Legislation year enacted: September 16, 2004

Case Definition

Outcomes covered: neural tube defects (anencephaly, encephalocele, myelomeningocele and meningocele), cleft lip and/or cleft palate, gastroschisis, club foot, limb reduction defects, Down syndrome, omphalocele, ambiguous genitalia, trisomy 13, trisomy 18, conjoint twins, albinism, and congenital heart defects

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, 20 weeks gestation and greater), elective terminations

Age: up to 6 years after delivery Residence: in-state birth to state residents

Surveillance Methods

Case ascertainment: active case ascertainment, population-based Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: programs for children with special needs

Delivery hospitals: obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, surgery logs, specialty outpatient clinics

Pediatric & tertiary care hospitals: ICU/NICU logs or charts, pediatric logs, surgery logs, laboratory logs

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), genetic counseling/clinical genetic facilities Other sources: physician reports

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all elective abortions, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: failure to thrive, cardiovascular condition, any infant with a codable defect *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic

information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage Database storage/management: MS Access

Data Analysis

Data analysis software: SPSS, MS Access, Excel Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, epidemiologic studies (using only program data), needs assessment, referral, grant proposals, education/public awareness, prevention projects

Funding

Funding source: MCH funds 59%, CDC grant 41%

Other

Web site: http://www.salud.gov.pr/AF/AFindex.htm Surveillance reports on file: description of registry development, case report form, manual for case report form Procedure manual available: yes

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Rhode Island

Rhode Island Birth Defects Surveillance Program

Purpose: surveillance, service, prevention Partner: hospital, child program, advocacy Program status: currently collecting data Start year: 2000 Earliest year of available data: 1997 Organizational location: Department of Health (Maternal and Child Health)

Population covered annually: 12,500 Statewide: yes

Current legislation or rule: Title 23, Chapter 13.3 of Rhode Island General Laws requires the development of a birth defects surveillance, reporting and information system that will: a) describe the occurrence of birth defects in children up to age five; b) detect trends of morbidity and mortality; and c) identify newborns and children with birth defects to intervene on a timely basis for treatment.

Legislation year enacted: 2003

Case Definition

Outcomes covered: major birth defects and genetic diseases Pregnancy outcome: live births (all gestational ages and birth weights) Age: currently at birth

Residence: RI residents

Surveillance Methods

Case ascertainment: passive case ascertainment Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program; RI has an integrated database called KIDS NET, which links data from 9 programs (Universal Newborn Developmental Risk Screening, Universal Newborn Hearing, Newborn Bloodspot Screening, Early Intervention, Immunization, Lead Poisoning, WIC, Home Visiting, Vital Records)

Delivery hospitals: discharge summaries, ICU/NICU logs or charts

Pediatric & tertiary care hospitals: specialty outpatient clinics Other specialty facilities: genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: chart reviews conducted for every newborn identified with a birth defect Coding: ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, Oracle

Data Analysis

Data analysis software: SAS, MS Access

Quality assurance: validity checks, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, clinical review

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, needs assessment, service delivery, referral, grant proposals, education/public awareness

System Integration

System links: state registry, KIDSNET (Universal Newborn Developmental Risk Screening, Universal Newborn Hearing, Newborn Bloodspot Screening, Early Intervention, Immunization, Lead Poisoning, WIC, Home Visiting, Vital Records), hospital discharge database

Funding

Funding source: MCH funds 30%, CDC grant 70%

Other

Web site: http://www.health.ri.gov/family/birthdefects/index.php Surveillance reports on file: Birth Defects Data Book

Contacts

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South Carolina

South Carolina Birth Defects Surveillance and Prevention Program

Purpose: surveillance, research, service Partner: Department of Health, university, hospital Program status: currently collecting data Start year: 1992

Earliest year of available data: 1993 Organizational location: Greenwood Genetic Center Population covered annually: 56,182 Statewide: yes

Case Definition

Outcomes covered: neural tube defects *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, 20 weeks gestation and greater), elective terminations (<20 weeks gestation, 20 weeks gestation and greater)

Age: up to one year after delivery

Residence: in- and out-of-state births to residents of South Carolina

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates, elective termination certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, autopsy

Delivery hospitals: disease index or discharge index, discharge summaries, postmortem/pathology logs, specialty outpatient clinics, ICD-9 codes

Pediatric & tertiary care hospitals: discharge summaries, specialty outpatient clinics

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities, maternal serum screening facilities

Other sources: physician reports

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), all prenatally diagnosed or suspected cases *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth,

etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: SAS, MS Access Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, grant proposals, education/public awareness, prevention projects

Funding

Funding source: general state funds 65%, institutional funding 25%, March of Dimes 10%

Other

Web site: http://www.ggc.org Procedure manual available: yes

Contacts

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South Dakota

Program status: No surveillance program

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Tennessee

Tennessee Birth Defects Registry (TBDR)

Purpose: surveillance, research, service, prevention Partner: hospital, child program, advocacy, legislator Program status: currently collecting data Start year: 2000 Earliest year of available data: 2000 Organizational location: research Population covered annually: 80,000 Statewide: yes Current legislation or rule: TCA 68-5-506 Legislation year enacted: 2000

Case Definition

Outcomes covered: major structural birth defects *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc., 500 grams or more, or in the absence of weight, of 22 completed weeks of gestation or more *Age:* diagnosed up to one year after delivery

Residence: in- and out-of-state births to state residents

Surveillance Methods

Case ascertainment: passive case ascertainment supplemented with active case ascertainment, population-based

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: newborn hearing screening program, newborn biochemical screening program Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs,

postmortem/pathology logs, surgery logs, cardiac catheterization laboratories, specialty outpatient clinics

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, surgery logs, laboratory logs, cardiac catheterization laboratories, specialty outpatient clinics

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, infant low birth weight or gestation (active review sample infants with no known diagnosis and oversample for low birth weight), ICD9-CM code 760.71 *Coding:* ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage

Database storage/management: MS Access, SQL Server

Data Analysis

Data analysis software: SAS, MS Access, SQL Server *Quality assurance:* validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, observed vs expected analyses, needs assessment, service delivery, education/public awareness, prevention projects

Funding

Funding source: general state funds 100%

<u>Other</u>

Surveillance reports on file: Birth Defects in Tennessee 2000-2002

Contact

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Purpose: surveillance, research, service, prevention
Partner: university, hospital, advocacy
Program status: currently collecting data
Start year: 1994
Earliest year of available data: 1996
Organizational location: Department of Health
(Epidemiology/Environment)
Population covered annually: 377,000
Statewide: yes
Current legislation or rule: Health and Safety Code, Title 2, Subtitle D, Section 1, Chapter 87
Legislation year enacted: 1993

Case Definition

Outcomes covered: all major structural birth defects and FAS **Pregnancy outcome:** live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (<20 weeks gestation, \geq 20 weeks gestation), elective terminations **Age:** up to one year after delivery; FAS to any age **Residence:** in-state births to state residents

Surveillance Methods

Case ascertainment: active case ascertainment, population-based Case finding/identification sources:

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, pediatric logs, postmortem/ pathology logs, surgery logs, cardiac catheterization laboratories, specialty outpatient clinics, genetics logs, stillbirth logs, radiology logs

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, pediatric logs, postmortem/pathology logs, surgery logs, laboratory logs, cardiac catheterization laboratories, specialty outpatient clinics, genetics logs, radiology logs

Midwifery facilities: lay and nurse midwives Other sources: licensed birthing centers

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected procedure codes, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), infant low birth weight or gestation (<34 weeks GA), all stillborn infants

Conditions warranting a chart review beyond the newborn period: CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, any infant with a codable defect *Coding:* CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgars, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, etc.), gravidity/ parity, illnesses/conditions, prenatal diagnostic information,

pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, date-of-birth, etc.), demographic information (race/ethnicity, etc.)

Data Collection Methods and Storage

Database storage/management: SQL Server

Data Analysis

Data analysis software: SAS, MS Access, SPSS Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, clinical review, timeliness, re-casefinding, re-review of medical records Data use and analysis: baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, epidemiologic studies, identification of potential cases for other epidemiologic studies, referral, grant proposals, education/public awareness

System Integration

System links: link registry to vital records for demographic data

Funding

Funding source: general state funds 51%, MCH Title V funds 33%, Preventive Health Block Grant 16% *Note: does not include CDC-funded Texas Center for Birth Defects Research and Prevention

Other

Web site: http://www.dshs.state.tx.us/birthdefects *Surveillance reports on file:* 1996 Birth Defects in the Lower Rio Grande Valley; Report of Birth Defects Among 1995 Deliveries, Among 1996 and 1997 Deliveries, Among 1998 and 1999 Deliveries, Among 1999 and 2000 Deliveries, and Among 1999-2001 Deliveries

Procedure manual available: yes

Additional information on file: copy of legislation, FAS brochure (English and Spanish), Pregnancy Outcome Patterns for Various Defects, Impact of Including Induced Pregnancy Terminations Before 20 Weeks Gestation on Birth Defect Rates, Cluster Investigation Reports, Birth Defects Glossary, Recent Trends in Neural Tube Defects in Texas, Birth Defects Risk Factor Series

Comments: Statewide as of 1999 deliveries. Until 2000, the Texas Department of Health also had the Texas Neural Tube Defect Surveillance and Intervention Project along the Texas border with Mexico, which conducted active surveillance and research on neural tube defects for 14 counties.

Contacts

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

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Utah Birth Defect Network (UBDN)

Purpose: surveillance, research, service, prevention, education
 Partner: university, hospital, environment, advocacy
 Program status: currently collecting data
 Start year: 1994
 Earliest year of available data: 1994
 Organizational location: university, Department of Health

Population covered annually: 50,000

Statewide: yes

Current legislation or rule: Birth Defect Rule (R398-5) *Legislation year enacted:* 1999

Case Definition

Outcomes covered: 742.000 - 759.000

Pregnancy outcome: live births (all gestational ages and birth weights), stillbirths (20 weeks gestation or greater), elective terminations

Age: 2

Residence: maternal residence in Utah at time of delivery

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based; all medical records are reviewed for all reported potential cases

Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts, postmortem/pathology logs, specialty outpatient clinics, Champions report live births delivered at their respective hospitals

Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, ICU/NICU logs or charts, postmortem/pathology logs, surgery logs, specialty outpatient clinics

Other specialty facilities: prenatal diagnostic facilities (ultrasound, etc.), cytogenetic laboratories, genetic counseling/clinical genetic facilities

Other sources: physician reports, lay midwives

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all stillborn infants, all neonatal deaths, all infants in NICU or special care nursery, all prenatally diagnosed or suspected cases, all fetal deaths certificates, NICU reports, infant deaths are reviewed

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect

Coding: CDC coding system based on BPA

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, prenatal care, prenatal diagnostic

information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access

Data Analysis

Data analysis software: SPSS, SAS, MS Access, Epi2000, Stata 8

Quality assurance: double-checking of assigned codes, comparison/verification between multiple data sources, clinical review, timeliness, logical checks, duplicate check in tracking and surveillance module, case record form checked for completeness, timeliness through system, manual review of subset of surveillance module case data compared to case record form

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, referral, grant proposals, education/public awareness, prevention projects, Oral Facial Cleft Case-Control Study, UT Center for Birth Defects Research and Prevention

System Integration

System links: link to birth records

Funding

Funding source: MCH funds 80%, CDC grant 20%

<u>Other</u>

Web site: http://health.utah.gov/birthdefect Surveillance reports on file: 1994 NTD Surveillance report, 1994-1996 registry report, Scientific Collaboration Protocol -Data Sharing Agreement Procedure manual available: yes Additional information on file: Newsletters, brochure

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Vermont

Birth Information Network

Purpose: surveillance, service, prevention Partner: university, hospital, nursing, advocacy Program status: program has not started collecting data Organizational location: Department of Health (Statistics) Population covered annually: 6500 Statewide: yes Current legislation or rule: Act 32 (TITLE 18 VSA §5087) Legislation year enacted: 2003

Case Definition

Outcomes covered: major birth defects and genetic diseases, very low birth weight (<1500 grams) *Age:* up to one year after delivery *Residence:* in- and out-of-state births to state residents

Funding Funding source: CDC grant 100%

Contacts

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Virginia

Virginia Congenital Anomalies Reporting and Education System (VACARES)

Purpose: surveillance

Partner: university, hospital, child program Program status: currently collecting data

Start year: 1985

Earliest year of available data: 1987 Organizational location: Pediatric Screenings and Genetic Services, Division of Child and Adolescent Health

Population covered annually: calendar year 2003 – 100,561 Statewide: yes

Current legislation or rule: Health Law 32.1-69.1,-69.1:1,-69.2 Legislation year enacted: 1985; amended 1986, 1988

Case Definition

Outcomes covered: major birth defects and genetic diseases **Pregnancy outcome:** live births (all gestational ages and birth weights)

Age: below 24 months of age

Residence: in- and out-of-state births to state residents

Surveillance methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file

Other state-based registries: newborn hearing screening program, newborn biochemical screening program Delivery hospitals: discharge summaries, medical records abstracts codes from charts

Pediatric & tertiary care hospitals: discharge summaries, medical records abstracts codes from charts

Other specialty facilities: genetic counseling/clinical genetic facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with anxz ICD9-CM code 740-759, any chart with a selected list of ICD9-CM codes outside 740-759, any chart with selected defects or medical conditions (e.g. abnormal facies, congenital heart disease), any birth certificate with a birth defect box checked, all neonatal deaths, chart review done by the coders in Health Information Management

Conditions warranting a chart review beyond the newborn period: any infant with a codable defect Coding: ICD-9-CM, ICD-10 for death certificate

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.) *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage Database storage/management: Oracle

Data Analysis Data analysis software: SAS, MS Access *Quality assurance:* validity checks, double-checking of assigned codes, comparison/verification between multiple data sources, data/hospital audits, timeliness

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, needs assessment, service delivery, referral, grant proposals, prevention projects

System Integration

System links: state registry

System integration: The current system, Virginia Infant Screening and Infant Tracking System (VISITS), is an integrated database for VaCARES and the Virginia Newborn Hearing Screening Program. A pilot of the At Risk Referral Service is currently being evaluated.

Funding

Funding source: MCH funds 70%, CDC grant 30%

Other

Web site: http://www.vahealth.org/genetics Surveillance reports on file: 10-year report (1989-1998) published in 2002

Procedure manual available: yes

Additional information on file: Oracle database

Comments: As of January 2005, hospitals are entering data directly into the Virginia Infant Screening and Infant Tracking Program (VISITS), a web-based tracking and data management system. Additional case ascertainment via state contracted genetic centers is currently being piloted in one center.

Contacts

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Washington

Washington State Birth Defects Surveillance System (BDSS)

Purpose: surveillance, service Partner: university, hospital Program status: currently collecting data Start year: 1986 – active; 1991 – passive Earliest year of available data: 1987 Organizational location: Department of Health (Maternal and Child Health) Population covered annually: 80,000 Statewide: yes Current legislation or rule: Notifiable Conditions: WAC 246-101 Legislation year enacted: 2000

Case Definition

Outcomes covered: From 1987 to 1991 (active surveillance), and from 1991 to the 2000 (passive surveillance), the cases reportable to the Birth Defects Registry included those with ICD-9-CM codes 740-759, selected primary cancers, selected metabolic conditions, and FAS/FAE. Since the adoption of the Notifiable Conditions law in 2000, conditions subject to mandatory reporting are neural tube defects, orofacial clefts, limb deficiencies, abdominal wall defects, hypospadias/epispadias and Down syndrome. FAS/FAE, Cerebral Palsy and Autism Spectrum Disorder are designated as reportable with systems being established to ascertain cases outside the hospital setting. *Pregnancy outcome:* live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater)

Age: to age 4 years historically; transitioning to ascertainment through 1 year of age for structural defects and to age 10 for FAS/FAE, Cerebral Palsy and Autism Spectrum Disorder *Residence:* resident births; children born or diagnosed in-state

Surveillance Methods

Case ascertainment: passive case ascertainment Case finding/identification sources:

Vital records: birth certificates, death certificates, fetal death certificates, elective termination certificates

Other state-based registries: programs for children with special needs

Delivery hospitals: disease index or discharge index

Pediatric & tertiary care hospitals: disease index or discharge index

Other sources: university-based FAS/FAE clinic Data sharing agreements with other states: yes

Case Ascertainment

Coding: ICD-9-CM, ICD-9-CM, FAS/FAE coding scheme will be utilized in data collection and case description for FAS/FAE cases

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.)

Father: identification information (name, address, date-of-birth, etc.)

Data Collection Methods and Storage

Database storage/management: Web-based SQL server

Data Analysis

Data analysis software: SAS, MS Access Quality assurance: validity checks, re-abstraction of cases, comparison/verification between multiple data sources, data/hospital audits, timeliness

Data use and analysis: routine statistical monitoring, baseline rates, monitoring outbreaks and cluster investigations, time trends, observed vs expected analyses, service delivery, grant proposals, education/public awareness, prevention projects

System Integration

System links: final birth file, CSHCN program participant file *System integration:* Member of the Environmental Health Tracking Grant project.

Funding

Funding source: general state funds 40%, MCH funds 60%

Other

Surveillance reports on file: Brighter Futures report available for 1987-1988 data; Washington State Birth Defects Surveillance System: Status Report 1995-2004

Contact

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West Virginia

Birth Defects Surveillance System

Purpose: surveillance, research, service, prevention Partner: university, hospital, child program, advocacy Program status: currently collecting data Start year: 1989

Earliest year of available data: 1989 *Organizational location:* Department of Health (Epidemiology/Environment), Department of Health (Vital Statistics), Department of Health (Maternal and Child Health) *Population covered annually:* 21,000

Statewide: yes

Current legislation or rule: State Statute Section 16-5-12a *Legislation year enacted:* 1991; State Stature Section 16-40-1 Legislation updated 2002

Case Definition

Outcomes covered: congenital anomalies of ICD-9 codes 740-759, 760, 764-766

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (\geq 20 weeks gestation), elective terminations (\geq 20 weeks gestation)

Age: 0-6 years

Residence: in- and out-of-state births to state residents

Surveillance Methods

Case ascertainment: active/passive case ascertainment combination

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates, elective termination certificates

Other state-based registries: programs for children with special needs, newborn hearing screening program, newborn biochemical screening program, developmental disabilities surveillance, cancer registry, AIDS/HIV registry, SIDS

Delivery hospitals: disease index or discharge index, discharge summaries, obstetrics logs (e.g. labor & delivery), regular nursery logs, ICU/NICU logs or charts

Pediatric & tertiary care hospitals: specialty outpatient clinics, physicians complete birth defect reporting forms for those diagnosed after delivery

Other specialty facilities: genetic counseling/clinical genetic facilities

Other sources: physician reports, pediatric referrals of children diagnosed after delivery and discharge

Data sharing agreements with other states: yes

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, a selected list of ICD9-CM codes outside 740-759, selected procedure codes, selected defects or medical conditions (e.g. abnormal facies, congenital heart disease); any birth certificate with a birth defect box checked; infant low birth weight or gestation (<2500 grams or <37 weeks); all stillborn infants, neonatal deaths, elective abortions; all infants with low APGAR scores; all infants in NICU or special care nursery; all prenatally diagnosed or suspected cases

Conditions warranting a chart review beyond the newborn period: facial dysmorphism or abnormal facies, failure to thrive, developmental delay, CNS condition (e.g. seizure), GI condition (e.g. intestinal blockage), GU condition (e.g. recurrent infections), cardiovascular condition, all infant deaths (excluding prematurity), childhood deaths between 1-6, occular conditions, auditory/hearing conditions, any infant with a codable defect *Coding:* ICD-9-CM, ICD-10-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth measurements (weight, gestation, Apgar, etc.), tests and procedures, infant complications, birth defect diagnostic info Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), gravidity/parity, illnesses/conditions, prenatal care, prenatal diagnostic information, pregnancy/delivery complications, maternal risk factors, family history

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, family history

Data Collection Methods and Storage

Database storage/management: MS Access, Mainframe, Visual D-Base

Data Analysis

Data analysis software: MS Access, custom Quality assurance: validity checks, comparison/verification between multiple data sources, timeliness

Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, observed vs expected analyses, epidemiologic studies (using only program data), needs assessment, referral, grant proposals, education/public awareness, prevention projects

System Integration

System links: state registry; plans are underway to link several programs housed in the Office of Maternal, Child and Family Health

Funding

Funding source: Title V and State appropriations 100%

Other

Web site: http://www.wvdhhr.org Procedure manual available: yes

Contacts

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Wisconsin

Wisconsin Birth Defects Registry (WBDR)

Purpose: surveillance, research, service

Partner: Department of Health, university, hospital, child program, advocacy

Program status: currently collecting data

Start year: 2004

Earliest year of available data: 2004

Organizational location: Department of Health and Family Services (CSHCN)

Population covered annually: ~68,000

Statewide: yes

Current legislation or rule: Statute 253.12 HFS 116--Took effect April 1, 2003

Legislation year enacted: 2000

Case Definition

Outcomes covered: structural malformations, deformations, disruptions, or dysplasias; genetic, inherited, or biochemical diseases

Pregnancy outcome: live births (all gestational ages and birth weights), fetal deaths – stillbirths, spontaneous abortions, etc. (20 weeks gestation and greater)

Age: birth to 2 years

Residence: statute mandates reporting of birth defects diagnosed or treated in Wisconsin regardless of residence status

Surveillance methods

Case ascertainment: passive case ascertainment, populationbased

Case finding/identification sources:

Vital records: birth certificates, death certificates, matched birth/death file, fetal death certificates

Other state-based registries: newborn hearing screening program, newborn biochemical screening program

Pediatric & tertiary care hospitals: case reports from pediatric specialty clinics

Third party payers: Medicaid databases

Other specialty facilities: genetic counseling/clinical genetic facilities

Other sources: physician reports, hospital discharge data through 2 years of age

Case Ascertainment

Coding: ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.) *Father:* identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.)

Data Collection Methods and Storage Database storage/management: Oracle

Data Analysis

Data analysis software: SAS Quality assurance: validity checks, comparison/verification between multiple data sources *Data use and analysis:* baseline rates, rates by demographic and other variables, monitoring outbreaks and cluster investigations, time trends, capture-recapture analyses, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, needs assessment, service delivery, referral, grant proposals, education/public awareness, prevention projects, *Note: Items above are the ones likely to be used.

System Integration

System links: Would like to link to birth records, the Newborn Screening Program and the Newborn Hearing Screening Program in the future.

Funding

Funding source: general state funds 50%, MCH funds 50%

Other

Web site: https://wbdr.han.wisc.edu

Surveillance reports on file: Birth and Developmental Outcome Monitoring Program 1990-91, Birth and Developmental Outcome Monitoring Program 1990-94

Comments: The new program requires complete specification through an Advisory Council and Administrative Rule. The Council meets quarterly. Administrative rules took effect April 1, 2003.

Contacts

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STATE BIRTH DEFECTS SURVEILLANCE PROGRAM DIRECTORY

Wyoming

Program status: Interested in developing a surveillance program

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US Department of Defense

United States Department of Defense (DoD) Birth and Infant Health Registry

Purpose: surveillance, research

Partner: university, hospital, CDC Program status: currently collecting data Start year: 1998

Earliest year of available data: 1998

Organizational location: Department of Defense Center for Deployment Health Research, Naval Health Research Center, San Diego, CA

Population covered annually: ~90,000 per year **Statewide:** no; nation/world, DoD beneficiaries (includes all uniformed services personnel who are eligible for health care benefits)

Current legislation or rule: Assistant Secretary of Defense, Health Affairs Policy Memorandum *Legislation year enacted:* 1998

Case Definition

Outcomes covered: CDC-recommended major birth defects **Pregnancy outcome:** live births (all gestational ages and birth weights)

Age: birth to 1 year

Residence: worldwide; any birth to a US military beneficiary

Surveillance Methods

Case ascertainment: combination of active and passive case ascertainment, population-based; electronic diagnostic codes from all inpatient and outpatient healthcare encounters of US military beneficiaries

Case finding/identification sources:

Delivery hospitals: disease index or discharge index, discharge summaries, specialty outpatient clinics, all inpatient and outpatient encounters are captured in standardized DoD data Pediatric & tertiary care hospitals: disease index or discharge index, discharge summaries, specialty outpatient clinics, all inpatient and outpatient encounters are captured in standardized DoD data

Third party payers: all inpatient and outpatient encounters are captured in standardized DoD data

Other sources: validation of standardized electronic data performed by active case ascertainment and chart review of a random sample of births from both military and civilian facilities

Case Ascertainment

Conditions warranting chart review in newborn period: any chart with an ICD9-CM code 740-759, any chart with a CDC/BPA code, validation of standardized electronic data performed by active case ascertainment and chart review of a random sample of births from both military and civilian facilities **Conditions warranting a chart review beyond the newborn period:** any infant with a codable defect **Coding:** ICD-9-CM

Data Collected

Infant/fetus: identification information (name, address, date-ofbirth, etc.), demographic information (race/ethnicity, sex, etc.), tests and procedures, infant complications, birth defect diagnostic information

Mother: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions, prenatal diagnostic information, pregnancy/delivery complications

Father: identification information (name, address, date-of-birth, etc.), demographic information (race/ethnicity, sex, etc.), illnesses/conditions

Data Collection Methods and Storage

Database storage/management: MS Access, SAS

Data Analysis

Data analysis software: SAS

Quality assurance: validity checks, re-abstraction of cases, double-checking of assigned codes, comparison/verification between multiple data sources, clinical review Data use and analysis: routine statistical monitoring, baseline rates, rates by demographic and other variables, time trends, observed vs expected analyses, epidemiologic studies (using only program data), identification of potential cases for other epidemiologic studies, grant proposals, prevention projects

System Integration

System links: DoD databases System integration: DoD databases

Funding

Funding source: other federal funding 100%

Other Web site:

http://www.nhrc.navy.mil/rsch/code25/projects/birthdefects.htm *Surveillance reports on file:* DoD/HA policy memorandum; annual reports

Contacts

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