Appendix 4.1 Descriptions of Minimum (Core) Data Variables

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Appendix 4.1 Data Variables

Appendix 4.1 Descriptions of Minimum (Core) Variables

Format for Variable Descriptions

Variable Name Name of data collection variable

Definition Definition of data collection variable

Justification Reason the birth defects program may want to include variable in

its database

Source Where variable comes from – abstracted, derived, created

Location Data sources and location within data sources where variable is

most likely to be consistently found

Type How variable should be stored – text, number, date, code (letters

and/or numbers), checkbox

Checks Any limits, ranges, or other criteria the variable should meet

Comments Other notes or comments about the variable

Options Recommended options for the variable

Variable Name Unique ID

Definition Identification code or number; a code or number that uniquely

identifies each case or record

Justification With a unique ID code, the birth defects program can refer to a

particular case more easily than having to refer to a set of other variables. For example, it is easier to refer to an abstract with ID 1234567 than to an abstract of John Doe, date of birth 04/27/1999,

born to mother Jane Doe.

The ID permits easy linkage between multiple data sets as long as each table contains the ID as one of its fields. This is essential for data transfer and processing, so that data for a particular case do not get mixed up with data from other cases. This field permits linking

multiple case reports for individual children.

Source Created by the registry as cases are added.

Location N/A **Type** Code

Checks Every individual in the database should have a *unique* ID.

Variable Name Definition Justification

Date of pregnancy outcome

Date of delivery or end of the index pregnancy

In conjunction with other fields, such as mother's last name, this field helps to identify a case uniquely. It is useful to researchers and social workers in verifying that they are referring to the pregnancy of interest when contacting mothers who may have had other pregnancies.

The birth defects program may require that, for live births, a diagnosis be made within a certain time period after the date of delivery (e.g., within one year) or by a particular age (e.g., prior to age 6). The date of delivery is necessary in order to determine whether the diagnosis was made within the time limit

Secular trends have been reported for certain birth defects (Nielsen et al., 2000; O'Leary et al., 1996; Centers for Disease Control and Prevention, 1992). The birth defects program can use the date of delivery in order to produce statistics and reports by delivery year and to examine secular trends in birth defects.

Cluster investigations are based on a defined diagnosis, geographical area, and time period. Knowing the delivery date allows investigators to determine which cases qualify to be included in a particular investigation.

Source Location

Abstracted

- Mother's delivery medical record (labor and delivery record)
- Infant's medical record (face sheet, labor and delivery record, birth certificate worksheet)
- Vital record

Type Checks

Date

Every record must have a pregnancy outcome date, except in cases of prenatal diagnosis where the pregnancy has not ended yet. The pregnancy outcome date should be after the mother's and father's date of birth, date of last menstrual period, and date of conception; on or after any prenatal diagnostic procedure date or prenatal ultrasound date; and on or before a postnatal procedure date.

Comments

Date of pregnancy outcome can be: date of birth, date of fetal demise, or date pregnancy ends.

Variable Name Sex

Definition Sex of the infant or fetus

Justification Birth defect risk may be associated with sex (Whiteman et al., 2000).

The birth defects program can use the sex of the infant or fetus in order

to evaluate differences in birth defect rates by sex.

Source Abstracted

Derived (from the karyotype)

Location • Mother's delivery medical record (labor and delivery record)

• Infant's medical record (face sheet, labor and delivery record, birth

certificate worksheet)

• Vital record

Type Code

Checks Every record should have sex recorded.

Comments If a karyotype was performed, the sex should match the karyotype,

except in rare cases of such discordances as XY females and XX males.

Options • Male

Female

Ambiguous

Unknown

Variable Name Definition Justification Infant's name

Name of infant or fetus

Field staff can use the infant's name and date of birth or pregnancy outcome date to locate medical records.

The birth defects program will use the name to unduplicate the reported cases and may employ the infant's name in addition to other fields to link to other data sets, such as vital records.

The infant's name is helpful when referring the family to social work, treatment, and prevention agencies.

Source

Abstracted

Location • Infant's medical record (face sheet, birth certificate worksheet)

• Vital record

Type Checks Text

If the infant's last name is the same as the father's or mother's last name, or a combination of the two, the spelling should usually match.

Comments

This variable may be collected as a single field or multiple fields. Separate fields for first, middle, last name, and suffix are recommended to improve unduplication and record linkage success. Individual fields of up to 25 digits each should be considered to avoid truncated names. This variable should include at least the infant's first and last name and may include the infant's middle name and any suffixes. An infant may be given more than one name or alias, sometimes referred to as "also known as" or AKA. The birth defects program may want to record all of the names, for easier linkage with other databases, to prevent duplication of cases in the database and to remain current with name use.

Fetuses resulting from fetal deaths and elective terminations often do not have names. The birth defects program should consider using the surname of the mother and inserting a standard first name (e.g., fetus) so that name data fields are complete in the database.

Variable Name Source of report

Definition Any data source where information was obtained or where a case report

originated.

Justification The source of report allows the birth defects program to identify where

information in a case abstract comes from. This is important for resolving data edit issues, for confirming the data, and for conducting

audits of facility reporting.

The data source fields permit the birth defects program to evaluate the

usefulness of utilizing specific facilities as data sources.

Source Abstracted

Location Any data source pertinent to program objectives **Type** Code, with allowance for multiple sources

Checks This field should always be filled out and should be a valid code.

Comments There can be multiple data sources for a given case. For example, an

infant may be identified with a birth defect at the delivery hospital, tertiary care hospital, cytogenetics laboratory, etc. (see also Chapter 6

on Case Ascertainment Methods).

Options It is useful to maintain a list of potential data sources and standard

codes (hospitals, clinics, laboratories, autopsy, etc.) unique to each

program.

Variable Name Medical record number(s)

Definition Medical record number(s) used by the source from which the

information was obtained.

Justification A medical record number allows facilities to retrieve records easily.

Although it may be possible to locate medical records using the patient's name and date of birth, the birth defects program may have a

name different than that recorded at the data source.

Source Abstracted

Location • Infant's medical record (face sheet)

Type Code

Comments Medical record numbers are not the same as visit, service, or encounter

numbers. Although not standard practice, multiple 'real' medical record numbers may be assigned to the same person, so it is important to identify each number for a given data source. Medical record numbers may also be very long. The birth defects program should make certain the computer program and registry database allow for entry of

the entire medical record number.

Variable Name Vital record certificate number

Definition Unique number assigned to a certificate by Vital Records.

Justification Vital record certificate numbers can be linked to other vital records

certificates. Often, vital records will reference or link the death

certificate to the birth certificate for infant deaths.

Programs can use an algorithm of data variables to find a potential match to a vital record. This process assists in identifying unique cases, establishing residency, and securing all of the data variables on the vital

record.

Birth certificate and fetal death certificate numbers can be the unique ID numbers for a program. Other 'program' numbers can be created using a similar format for cases that do not match to a birth certificate

or fetal death certificate.

Source Abstracted

Location On the certificate of birth, death, or fetal death and in the vital records

database

Type Number

Comments Separate fields for the live birth or fetal death and for the death record

number are recommended.

Variable Name Definition Justification

Place of pregnancy outcome

Location where the delivery or pregnancy outcome occurred Mother and infant records at the delivery facility often provide important information not found in tertiary care facility records (unless the delivery records are copied into the tertiary care records). The birth defects program can use the delivery location (hospital, midwifery, residence, etc.) to identify where delivery records need to be reviewed and abstracted.

The birth defects program may employ the delivery location in addition to other fields to link to other data sets, such as vital records.

The location where the delivery occurred allows the birth defects program to provide facility-specific statistics.

Source Location Abstracted

- Mother's delivery medical record (face sheet)
- Infant's delivery medical record (face sheet)
- Vital record

Type Checks

Comments

Code

This field should always be filled out and must be a valid code.

This includes those situations where delivery occurs outside of health care facilities as well as inside health care facilities.

Options

It is useful to maintain a list of potential data sources (hospitals, etc.) unique to each program.

- Home/residence
- Other
- Unknown

Variable Name

Pregnancy outcome

Definition Justification

Outcome of the index pregnancy

The pregnancy outcome, in conjunction with gestational age fields, may determine whether a record should be included in the birth defects

program.

At a minimum birth defect programs should distinguish the outcomes

of live birth, fetal death, and induced termination.

Part of the mission of the birth defects program may be to refer families to social services. Since only live births would be referred to many of the services, it is important to know whether a given case is a live birth. Knowing which cases are elective terminations aids in evaluating trends in prenatal diagnosis, as well as evaluating the impact of prevention strategies such as folic acid supplementation and fortification.

Pregnancy outcome can be used to evaluate rates of birth defect by pregnancy outcome.

Source

Abstracted

Location

- Mother's delivery medical record (face sheet, discharge summary, labor and delivery record)
- Vital records

Type

Code

Checks

This field should always be filled out, except in cases of prenatal

diagnosis where the pregnancy has not yet ended.

Comments

See Chapter 3 on Case Definition for definitions of pregnancy outcomes.

Variable Name

Birth weight

Definition

Weight of the infant or fetus at delivery

Justification

The birth weight may be needed for case definition if inclusion/exclusion criteria for selected birth defects, such as for undescended testes and patent ductus arteriosus, are based on birth

weight.

In conjunction with gestational age, length, and head circumference, birth weight can be used to assess prenatal growth retardation, a characteristic of fetal alcohol syndrome.

Source

Abstracted

Location

- Mother's delivery medical record (labor and delivery record)
- Infant's medical record (admission summary, labor and delivery record, birth certificate worksheet)
- Vital records

Type

Number

Checks

The birth weight must range between 0 and 10,000 grams or 0 and 50 pounds.

Comments

The data source may report birth weight in grams or kilograms, pounds and ounces, or pounds with decimals. The birth defects program may decide to record the weight in the units reported or in a uniform fashion, such as always as grams and kilograms. In this latter case, the birth defects program must be able to convert from one type of unit to another while collecting the data. Data fields can have computerized calculation functions.

Variable Name

Plurality

Definition

Number of fetuses or infants.

Justification

The plurality, in association with other fields such as county of residence and mother's social security number, can be used to avoid duplication of records in the birth defects program.

Knowing that the infant is from a multiple birth alerts the birth defects program that more effort may be needed to link to a particular vital record (Forrester and Canfield, 2000).

The birth defects program can use this data item to evaluate differences in birth defect rates for singletons and multiple births.

Source Location Abstracted

- Mother's delivery medical record (face sheet, admission summary, discharge summary, prenatal care record, labor and delivery record)
- Infant's medical record (face sheet, admission summary, discharge summary, prenatal care record, labor and delivery record, birth certificate worksheet).
- Vital records

Type

Number

Checks

This field should always be filled out.

Comments

Because some twin pregnancies are anomalous (for example, conjoined twins or fetus papyraceus), there may not be the expected two vital records for a pregnancy that is identified as a twin pregnancy.

Variable Name Gestational age

Definition Gestational age at pregnancy outcome

Justification Gestational age can be used to determine whether a pregnancy outcome

meets the case definition for the birth defects program.

Certain diagnoses are considered birth defects only when the infant is of a particular gestational age. For example, patent ductus arteriosus is common among premature infants and is often subject to exclusion

criteria before being counted as a birth defect.

Source Abstracted

Derived (see comments)

Location • Mother's delivery medical record (labor and delivery record)

• Infant's delivery medical record (admission summary, discharge summary, gestational age score record, labor and delivery record,

birth certificate worksheet)

Vital records

Type Number

Checks The gestational age should range between 0 and 52 weeks.

Comments The gestational age can be derived via several methods, and conflicting

gestational age information may be reported in the medical record (Alexander et al., 1990; Hall, 1990). As a result, the birth defects program will want to have a method for prioritizing gestational age

estimates from different sources.

Options See Chapter 3 on Case Definition for further information.

Variable Name Diagnosis code

Definition Code used for the diagnosis

Justification Coding birth defects eliminates the problem of having to sort through a

variety of differing descriptions. It allows for timely and efficient analyses of data and identification of cases for research and referral. Coding of birth defects enables birth defects researchers to know that

they are talking about the same birth defect, and allows for

comparability between different birth defects registries using the same

or comparable coding systems (Rasmussen et al., 2001).

SourceDerivedLocationN/ATypeCode

Checks Every case should have at least one diagnosis code (except if the birth

defects program includes non-malformed controls, in which case the program may create specific 'disease codes' for use as the data variable

in the diagnosis code field).

A case may have more than one diagnosis code. Every diagnosis

description should have a corresponding code and vice versa.

Comments The International Classification of Disease (ICD) coding system is the

standard used in the health care delivery system. NBDPN currently requires that programs report cases using ICD-9-CM codes. The registry should accommodate a minimum of 15 unique diagnostic

codes per case.

Options The recommended coding system is the CDC 6-digit code, which is

easily converted to ICD-9-CM. See the Chapter 5 on Classification and

Coding for further information.

Contact Information – Core

Variable Name Name of responsible party

Definition Name of parent, custodial parent, or guardian

Justification Useful in programs that refer a family to services when contact with a

parent may be inappropriate.

Source Abstracted

• Face sheet, signed authorization, social worker's notes, birth

certificate worksheet

• Immunization registry, metabolic screening database

Vital record

Type Text

Comments The name may be collected as a single field or multiple fields for first,

middle, and last name. Allowing for up to 25 characters for each

portion of the name should be considered.

Variable Name Address of responsible party

Definition Address of parent, custodial parent, or guardian

Justification Useful in programs that refer a family to services when contact with a

parent may be inappropriate.

Source Abstracted

Location • Face sheet, signed authorization, social worker's notes, birth

certificate worksheet

• Immunization registry, metabolic screening database

Vital record

Type Text

Comments Include fields for the street address, city, state, and zip code. Allow at

least 25 digits for street address and 20 digits for city name. If the residence address and the mailing address of the responsible party are

different, collect the mailing address for this item.

Variable Name Telephone number of responsible party

Definition Telephone number of child's parent, custodial parent, or guardian

Justification Useful in programs that refer a family to services when contact with a

parent may be inappropriate and when telephone contact may be

indicated.

Source Abstracted

Location • Face sheet, signed authorization, social worker's notes, birth

certificate worksheet

• Immunization registry, metabolic screening database

Vital record

Type Number

Comments Include area code with number.

Variable Name Definition Justification

Mother's date of birth

Birth mother's date of birth

In conjunction with other fields, such as mother's name, the birth defects program field staff can use the mother's date of birth to locate medical records when the mother's medical record number is not known. The birth defects program can use the mother's date of birth and other fields to determine whether a case has been abstracted or added to the registry under a different ID.

The birth defects program can employ the mother's date of birth in addition to other fields to link to other data sets, such as vital records or Medicaid.

The birth defects program can use the mother's date of birth and infant's date of delivery in order to calculate the mother's age at delivery. The mother's age at delivery can then be used in clinical review.

Source Location

Abstracted

- Mother's delivery medical record (face sheet, prenatal care record)
- Infant's medical record (face sheet, prenatal care record, birth certificate worksheet)
- Vital records

Type

Date

Checks

This date must be earlier than all other dates except possibly the father's date of birth. Medical records may sometimes confuse maternal and paternal information. If the mother's date of birth is the same as the father's date of birth, the birth defects program should double check to make certain that this is true.

Comments

See also Chapter 6 on Case Ascertainment Methods, the section on Data Sources.

Variable Name *Mother's race* **Definition** Birth mother's race

Justification The birth defects program can use the mother's race in order to present

data on birth defect rates by maternal race, one of the most important

person variables in descriptive epidemiology.

Source Abstracted

Location • Mother's delivery medical record (face sheet, prenatal care record)

• Infant's medical record (admission summary, prenatal care record,

birth certificate worksheet)

Vital records

Type Code

Comments Racial categories and codes used by birth defects surveillance programs

should be compatible with the federal standards in current use for race.

Variable Name *Mother's ethnicity* **Definition** Birth mother's ethnicity

Justification Ethnicity is a designation separate from maternal race. The birth defects

program can use the mother's ethnicity in order to evaluate differences

in birth defect rates by maternal ethnicity.

Source Abstracted

Mother's delivery medical record (face sheet, prenatal care record)

• Infant's medical record (admission summary, prenatal care record,

birth certificate worksheet)

Vital records

Type Code

Comments

It is important to collect ethnicity data that meets the needs of the registry to monitor the health of the ethnic populations within the state. Generally, each state Department of Public Health will have identified the populations of special interest to that state. Ethnicity categories and codes should be compatible with the federal standards in current use for ethnicity.

Appendix 4.1 A4.1-16 Data Variables

Variable Name Definition Justification

Mother's name

Full name of birth mother

In conjunction with other fields, such as mother's date of birth, the birth defects program field staff can use the mother's name to locate medical records when the mother's medical record number is not known. The birth defects program can employ the mother's name in addition to other fields to unduplicate case reports and to link to other data sets, such as vital records or Medicaid.

The mother's name is needed so that she can be contacted by researchers conducting approved studies and by social workers or others for outreach efforts.

Source Location

Abstracted

- Mother's delivery medical record (face sheet)
- Infant's medical record (face sheet, birth certificate worksheet)
- Vital records

Type Comments

Text

This variable may be collected as a single field or multiple fields. This variable should include at least the mother's first and last name and may include the mother's middle name and maiden name (name before marriage). A woman may have more than one name or alias (also known as or AKA). Separate fields for first, middle, and last name and for maiden name are recommended. Field lengths of 25 characters or larger for each portion of the name should be considered. The birth defects program should record all of the names, for easier linkage with other databases and to prevent entering duplicate cases in the database.

Variable Name Definition Mother's street address of residence at pregnancy outcome

Street address of birth mother's residence at the time of the outcome of

the index pregnancy

Justification Street address is necessary for geocoding location of residence and

linking with other data systems through geographical information systems (GIS). The street address field may be needed when assigning the county of residence, particularly when a city includes part of more

than one adjacent county.

Source

Abstracted

Location

- Mother's delivery medical record (face sheet)
- Infant's delivery medical record (face sheet, birth certificate worksheet)
- Vital records

Type

Text

Comments

Include apartment numbers, etc. A field length of up to 40 characters should be considered.

If there is a difference between residence address and mailing address, choose residence address. Only use P.O. Box if there is no physical address for the mother

Variable Name

Mother's city of residence at pregnancy outcome

Definition

City of address of birth mother's residence at the time of the outcome

of the index pregnancy

Justification

The city at delivery field is often needed when assigning the county of

residence.

Source

Location

Abstracted

Derived (from zip code or census tract number)

• Mother's delivery medical record (face sheet)

- Infant's delivery medical record (face sheet, birth certificate worksheet)
- Vital records

Type

Text

Comments

If there is a difference between residence address and mailing address, choose residence address. Allow for up to 25 characters for city name text fields. A separate city code field may be used to correspond with the city name to facilitate statistical analysis. City coding structures should be compatible with Federal Information Processing Standards (FIPS).

Variable Name

Mother's county of residence at pregnancy outcome

Definition

County code of birth mother's county of residence at the time of the

outcome of the index pregnancy

Justification

The county of residence, in association with other fields such as plurality and mother's social security number, can be used to avoid duplication of records in the registry.

Source

Abstracted

Derived (from street address and city, zip code, or census tract number)

Location

- Mother's delivery medical record (face sheet)
- Infant's delivery medical record (face sheet, birth certificate worksheet)
- Vital records

Type

Code

Comments

If there is a difference between residence address and mailing address, choose residence address. County coding schemes should be compatible with standard federal FIPS codes.

Variable Name Definition

Mother's state of residence at pregnancy outcome

State in which birth mother resided at the time of the outcome of the

index pregnancy

Justification

The state in which the mother resided is needed if the birth defects program's inclusion criteria include only residents of a certain state. The state of residence, along with other address components, is needed so that researchers and social workers can contact the family, provided a more recent address is not known.

Source

Abstracted

Derived (from other residence information such as city, zip code, and census tract number)

Location

- Mother's delivery medical record (face sheet)
- Infant's delivery medical record (face sheet, birth certificate worksheet)
- Vital records

Type

Comments

Standard 2-letter state codes used by US Postal Service

If there is a difference between residence address and mailing address, choose residence address. Procedures for reporting information for places outside the US need to be contemplated.

Variable Name Definition

Mother's zip code of residence at time of pregnancy outcome

Zip code of birth mother's residence at the time of the outcome of the

index pregnancy

Justification Cluster investigations are based on a defined diagnosis, geographical

area, and time period. Knowing the zip code of residence may allow investigators to determine which cases qualify to be included in cluster

investigations.

The zip code, along with other address components, is needed so that researchers, social workers, and others can contact the family, provided

a more recent address is not known.

Source Abstracted

Derived (from other residence information such as street address and

city or census tract number)

Location • Mother's delivery medical record (face sheet)

• Infant's delivery medical record (face sheet, birth certificate

worksheet)

Vital records

Type Number

Comments

If there is a difference between residence address and mailing address, choose residence address. This code only applies to United States zip

codes and may be the 5-digit or the 9-digit code.